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SALES hereby certify that annexed is a true copy of the Provisional specification
in connection with Application No. 2002951193 for a patent by NORTHERN
SYDNEY AREA HEALTH SERVICE and UNIVERSITY OF
WOLLONGONG as filed on 04 September 2002.



WITNESS my hand this
Twelfth day of September 2003

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PROVISIONAL SPECIFICATION FOR THE INVENTION ENTITLED:

Movement Facilitation Device

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This invention is best described in the following statement:

Movement Facilitation Device

Technical Field

The present invention relates generally to devices for facilitating movement of
5 objects. More specifically, the present invention relates to devices for facilitating the
relative movement between two portions of an object. More specifically still, the
invention relates to devices for facilitating the bending or deforming of an object or a
joint. In that regard, the present invention also relates to devices for moving joints in a
human or non-human animal body, or mimics thereof.

10

Background of the Invention

The loss of hand function will affect every aspect of an individuals life. This
includes the ability to feed and care for themselves and the ability to work and participate
in family life. For people recovering from problems such as trauma, burns or surgery
15 affecting the hand, careful management of hand rehabilitation can influence the outcome
for the patient significantly. In order to reduce the possibility of mobility difficulties
occurring, including loss of joint range of motion, muscle and tendon sheath adhesions or
non-functional scar tissue formation, continuous passive motion (CPM) is often indicated.

Additionally, for people with reduced mobility of the hand due to upper limb
20 paralysis, such as those with cervical spinal cord injury, stroke, cerebral palsy or
peripheral nerve injury, disregard for management of the maintenance of the joint range
of motion of the effected hand will result in contracture and limited joint range of motion.
Such syndromes will reduce hand function, which is already limited by paralysis, and will
negatively affect potential outcomes for aggressive rehabilitation techniques, such as
25 tendon transfer surgery and functional neuromuscular stimulation. Therefore, in such
cases, CPM is also indicated.

Current devices applying CPM have shown to be effective in minimising the
syndromes indicated above. Unfortunately, the use of such devices is not always
prescribed by clinicians. This is due, mainly, to the limitations of these devices that are in
30 the marketplace. These limitations include lack of secure finger placement, lack of
portability, the inability to provide specialised therapy to specific joints, inflexible
programming, of the device (only on or off with only one treatment modality) and, more

importantly, the potential for damage to the hand to occur due to ineffective securing and placement of the fingers and thumb in the device.

It is important to note that the therapeutic benefits of continuous passive movement rely on the response of dense ordinary connective tissue to low-load prolonged stress (LLPS). In the human body, joints, tendons, ligaments, synovial membranes, fascia and the fibrous joint capsule are all composed of connective tissue. The deprivation of these elements of stress after an injury has been found to be detrimental. Indeed, profound structural and functional changes can occur which result in restricted mobility.

Although immobilisation had been previously championed with respect to the healing of orthopaedic injury (as early as the late nineteenth century HO Thomas), such structural changes contraindicated it in many cases. These structural changes include:

- Development of fibro-fatty deposits within the joint;
- Diminished ground substance (which usually serves as a joint spacer and lubricant plus allows collagen fibres to glide freely);
- Excessive randomly oriented collagen fibre crosslinks resulting in intrarticular and extraarticular adhesions;
- A reduction in the extensibility of the joints with resultant joint stiffness.

It has been shown that stress deprivation can cause what is termed iatrogenic immobilisation disease which is characterised by muscle disuse atrophy, disuse osteopenia and the destruction of articular cartilage with late secondary degenerative arthritis. In combatting the occurrence of such problems, CPM:

- Maintains the proper constituents within the ground substance;
 - Inhibits abnormal cross-linking of collagen fibres;
 - Enhances cellularity, strength and mobility of the tissues.
- Thereby, CPM prevents intraarticular and periarticular adhesions. In achieving such a therapeutic benefit, CPM has shown to clinically:
- Minimise joint stiffness;
 - Improve the healing and regeneration of articular cartilage;
 - Reduce inflammatory conditions;
 - Improve wound healing;
 - Improve the repair of Ligaments and tendons;
 - Reduce pain;
 - Support more rapid and stronger healing of repaired ligament;
 - Improve healing subsequent to bone fractures.

In usual clinical hand therapy, therapists apply passive movement to the hand for mobilisation of its structures. CPM has been applied for the purpose of providing such therapy although with increasingly lasting results. Nevertheless, the limitations of prior art machines applying such CPM have reduced their impact on the patient population.

5 Prior art to embodiments of the invention is the portable continuous passive motion machine as applied to the human upper extremity. This has involved the attachment of a motor to the forearm. The motor usually drives a cross bar or longitudinal bars, which are attached to the fingers, in a cyclical pattern. These produce a continuous pattern of finger flexion followed by finger extension driven by the motor. This is for the purpose of
10 maintaining and improving the condition of the hand during rehabilitation. This includes improving finger joint range of motion, reduction of oedema and reducing the likelihood of tendon sheath adhesions.

Prior art weighs the hand down with a motor which, in addition to being heavy and awkward for the user (thus limiting their mobility), is non-cosmetic. Additionally, the
15 power requirements of the motor limit the portability, especially if the device is driven from mains power. Where the device is battery driven, the length of therapy is limited. The cross bar configuration of the prior art allows the possibility of misalignment of the fingers in the device, thereby producing the risk of damage to the hand. Such therapy is applied to all the fingers at the same time, in the same manner. Therefore, tailoring of
20 therapy to individual fingers and joints is not possible.

Priority needs are repeatability, reliability and portability. Preferred devices should also be cosmetically pleasing, light weight, energy efficient for portable battery power, flexible in operation and comfortable, robust, easy to don and doff securely, and safe when used.

25

Summary of the Invention

In a first aspect, the present invention provides a movement facilitation device for facilitating movement between a first portion of a first object and a second portion of the first object, said device having:

- 30 (a) at least one actuator,
a first part of the actuator coupled to the first portion of the first object, said actuator for moving said first portion with respect to the second portion; and
(b) an operating means coupled to the actuator for operating the actuator.

In some preferred embodiments, the first object has a bendable or moveable portion
35 coupling the first and the second portions. In some preferred embodiments a second part

of the actuator is coupled to the second portion of the first object. In other preferred embodiments, a second part of the actuator is coupled to a second object.

In some preferred embodiments, the first object is any object capable of being bent, moved, or deformed in such a way that first and second portions of the object can be
5 moved relative to one another.

In further preferred embodiments, the first object is a joint including at least two members which the joint's purpose it is to move relative to one another by movement of the joint.

Joints of preferred embodiments are any joints capable of movement in a single
10 plane or in multiple planes. They can include all forms of mechanical and non-mechanical joints. They can also include joints in the human body, including the relevant bones which the joints' purpose it is to move relative to one another by movement of the joints.

In many of the more preferred embodiments, the movement facilitation device can
15 be applied to a range of joints including all finger, thumb and hand joints, all toe and foot joints, wrist joints, elbow joints, shoulder joints, ankle joints, knee joints, hip joints, and any of the joints associated with the spinal column and skull, including the jaw.

Furthermore, apart from some preferred embodiments in which the first object is a human body joint, the first object may also be a prosthesis of a human body joint. The
20 first object can also be the joint of a non-human animal, or a prosthesis thereof. The first object can further be a joint in a mimic of a human or non-human animal, such as a toy, mannequin or robot. In yet still further preferred embodiments, the first object may also be a support structure, exoskeleton, cage, caliper, orthosis, splint, or portion thereof, which is designed to be put on or replicate a human or non-human animal or a mimic of a
25 human or non-human animal.

In some embodiments, the movement facilitation device is implantable and capable of performing its function within the human or non-human animal's body.

The second object of some preferred embodiments is a separate and independent object from the first object. In other preferred embodiments, the second object is coupled
30 with, connected to, or integral with the first object. In such embodiments, the second object may be effectively indistinguishable from the first object being a component thereof.

Preferred embodiments of the first and second objects are described in more detail below with reference to specific preferred embodiments of the movement facilitation

device and/or specific preferred embodiments of a plurality of movement facilitation devices functionally coupled so as to work together toward specific aims.

Some preferred embodiments of the actuator are formed of a non-flexible or flexible member. Other preferred embodiments of the actuator are formed of a material which, when operated, contracts or decreases in length. In such embodiments, the material from which the actuator is formed features elastic and/or contractile and/or resilient properties. One embodiment of the actuator is a rubber band. Another embodiment is a strip of elastic.

In more preferred embodiments, the actuator is formed of a material which has a shape memory, such as Nitinol (Ni-Ti), Cu-Al-Ni, Cu-Zn-Al, and others. In such embodiments, the length of the actuator can decrease when the temperature of the actuator is caused to change, for example, when the actuator is heated to an appropriate temperature. The relevant change in temperature may be caused by passing a current through the shape memory material.

In other embodiments, the length may also decrease when, for example, an electrical potential across the actuator is altered, or when a current is passed through the actuator, or when a magnetic field is brought into appropriate proximity to the actuator. In other words, any material which is capable of changing its shape upon application of a relevantly appropriate form of energy to cause that change in shape is suitable material from which an actuator of these preferred embodiments can be formed.

In preferred embodiments, the actuator is an electromechanical actuator of a type utilising a conducting polymer for effecting a desired action with change(s) in the volume of the polymer in response to an applied potential.

In one embodiment, the electromechanical actuator comprises:

a conducting polymer and a conductor for conducting voltage along the polymer from one end region of the polymer to an opposite end region of the polymer, wherein the conductor is capable of extending and contracting in length with expansion and contraction of the polymer.

Typically, the conductor will be arranged for enabling expansion and contraction with the polymer. Hence another embodiment of the electromechanical actuator of the present invention comprises:

a conducting polymer and a conductor for conducting voltage along the polymer from one end region of the polymer to an opposite end region of the polymer, wherein the conductor is arranged for extending and contracting in length with expansion and contraction of the polymer.

Preferably, the conductor will be wound in a helix along the polymer.

Accordingly, another embodiment of the preferred electromechanical actuator comprises:

5 a conducting polymer and a conductor wound in a helix along the polymer for conducting voltage from one end region of the polymer to an opposite end region of the polymer.

Typically, the conductor will be in intimate contact with the conducting polymer substantially along the entire length of the polymer. Preferably, the conductor will be embedded in the polymer.

10 Preferably, the conducting polymer will be in the form of a tube. The tube may have a cross-section lying in a plane extending substantially perpendicularly to a longitudinal axis of the tube of any desired shape. Generally, the shape of the cross-section of the tube will be substantially circular.

Another embodiment of the preferred electromechanical actuator comprises:

15 a tube of conducting polymer having an internal passageway for receiving an electrolyte.

Preferably, the electromechanical actuator will further comprise an electrical connector for facilitating electrical connection to the conductor. Typically, the connector will also be in direct electrical contact with the polymer. Most preferably, an electrical
20 connector will be connected to each end of the conductor, respectively.

If desired, the further electrical connector may also be in direct electrical contact with the polymer at a spaced distance from the first mentioned electrical connector.

A further embodiment of an electromechanical actuator of the present invention is made according to a method, which method comprises:

25 forming a tube of conducting polymer around a template having a desired shape.

Yet another embodiment of a preferred electromechanical actuator is made according to a method, which method comprises:

30 forming a polymer body on a conductor for extending and contracting in length with expansion and contraction of the polymer body and conducting voltage along the polymer body from one end region of the polymer body to an opposite end region of the polymer body.

Typically, the conducting polymer will be electrodeposited onto the template and/or the conductor.

35 Yet another preferred embodiment of an electromechanical actuator is made according to a method, which method comprises:

electrodepositing a conducting polymer onto a conductor wound in a helix to form a polymer body in which the helix is embedded.

A further embodiment of the electromechanical actuator is made according to a method, which method comprises:

- 5 (a) winding a conductor onto a template to form a helix along the template; and
- (b) electrodepositing a conducting polymer onto the helix to form a polymer body in which the helix is embedded;

wherein the helix is in electrical contact with the polymer body for conducting a voltage along the polymer body from one end region of the polymer body to an opposite
10 end region of the polymer body.

Typically, the conducting polymer will be electrodeposited onto the helix while the helix is wound around the template.

Preferably, the method will further comprise the steps of:

- (c) removing the template from the helix;
- 15 (d) connecting an electrical connector to one or each end section of the conductor for facilitating electrical connection with the conductor; and
- (e) securing the conductor to the or each electrical connector.

Preferably, an electrical connector will be inserted into the one end region of the polymer body and another said electrical connector into the opposite end region of the
20 polymer body.

The conducting polymer may be any polymer capable of undergoing a volume change in response to redox processes and which is deemed suitable for use in the provision of an electromechanical actuator of the type to which the present invention relates. Suitable polymers include, but are not limited to polyaniline, polypyrrole, polythiophene, derivatives thereof and mixtures thereof. That is, the polymer used may
25 comprise a polymeric material consisting of a number of different polymers. Accordingly, the term "conducting polymer" is to be taken to include a mixture of polymers capable of undergoing redox processes. Derivatives include, for example, alkyl, alkoxy, amine and alcohol derivatives of polyaniline, polypyrrole and polythiophene such as, for example,
30 poly(3-alkylthiophene)s.

The conductor used for conducting voltage along the polymer will typically have greater conductivity (κ) compared to the conducting polymer utilised. The conductor may be formed from any material deemed suitable. For example, the conductor may be another conducting polymer such as a polyaniline fibre or thread. Preferably, however, the
35 conductor will be a metal such as platinum, gold, silver or other metal with sufficient

flexibility to expand and contract in concert with expansion and contraction of the conducting polymer. Most preferably, the conductor will be a wire.

Preferably, the template will also be conductive and most preferably, a length of metal such as a metal strip, wire or the like. Generally, the template will consist of the same material as used for the conductor.

The electrical connector(s) may be any short length of conducting metal. Preferably, the or each electrical connector will consist of the same material as used for the conductor.

The conductor will generally be secured to the or each electrical connector by wrapping the connector tightly around the connector(s) or by spot welding or other suitable means. Preferably, the conductor will also be secured to the connector(s) by a suitable epoxy resin.

By forming the conducting polymer in the shape of a tube, it has been found that improved characteristics of the actuator may be obtained compared to the conducting polymer when provided in strip form. In particular, one or more of the electronic, mechanical and/or electrochemical properties of the actuator may be enhanced. While not being bound by theory, it is believed that a tube configuration has enhanced electrolytic efficiency compared to an actuator in the form of a strip as more of the conducting polymer comprising the tube is electrochemically accessible than a corresponding strip of the polymer.

It is further believed the provision of the conductor further enhances electrolytic efficiency by reducing voltage (iR) drops along the conducting polymer, enabling longer fibres to be used while retaining efficient activation capability.

The electromechanical actuator of preferred embodiments may be provided in a suitable electrolyte. The electrolyte may be a liquid or solid electrolyte, and the actuator may be immersed in the electrolyte or otherwise coated with the electrolyte. An electrolyte may for instance be contained in a film of cellophane or a gel such as a polyacrylamide gel. Preferred electrolytes include ionic liquids (salts that are liquid at room temperature) and particularly, ionic liquids containing polymers.

Some preferred embodiments of actuators are formed of for example, any combination of a conducting polymer such as that described above, carbon nanotubes and Nitinol.

It is important to note that while the above description appears to limit embodiments of the invention to include only one actuator, other preferred embodiments of the invention provide that there are plurality of such actuators all coupled together for

each movement facilitation device. In some such embodiments, although the plurality of actuators are coupled together, they are insulated from one another. In other of such embodiments, there is no insulation between the actuators and any change in potential effected across one such actuator is also effected across the others. Having a plurality of
5 actuators for each movement facilitation device may well increase the strength and capabilities of those movement facilitation devices.

Alternative embodiments of the actuator are formed of a reel and pulling means. The pulling means may be a string, rope, tape or any other means capable of being reeled and having a resilience that can withstanding a pulling pressure. In such embodiments,
10 the actuator decreases in length as the pulling means is reeled in on the reel. The reel of such embodiments may be operated manually or automatically.

Further alternative embodiments of the actuator comprise any means that is capable of performing the function of the actuator, in particular, causing a first portion of an object to be moved relative to a second portion of the object when operated.

15 As already indicated, in preferred embodiments of the movement facilitation device a first part of the actuator is coupled to a first portion of the first object and a second part of the actuator is coupled to the second object. The construction of more preferable coupling arrangements depends on the purpose for which the device is being used as well as the type of actuator and the nature of the objects to which the actuator is being coupled.

20 In some preferred embodiments, the coupling arrangement between the first part of the actuator and the first portion of the first object is different to the coupling arrangement between the second part of the actuator and the second object. Such a situation may arise, for example, where the second object is a separate and independent object from the first object. In other preferred embodiments, the coupling arrangement between the first part
25 of the actuator and the first portion of the first object is the same as the coupling arrangement between the second part of the actuator and the second object. Such a situation may arise, for example, where the second object is coupled with, connected to, or integral with the first object, and is effectively indistinguishable from the first object being a component thereof.

30 It is important to note that the examples described in the previous paragraph are illustrative only. Accordingly, where the second object is a separate and independent object from the first object, it may be that the respective and relevant coupling arrangements will be the same as one another. Similarly, where the second object is effectively indistinguishable from the first object, it may be that the respective and
35 relevant coupling arrangements will be different to one another.

In some preferred coupling arrangements, the relevant part of the actuator is secured to the relevant object by securing means. Any form of securing means capable of ensuring that the actuator is not uncoupled from the objects when the actuator is operated is suitable. Examples of securing means that may be suitable include, but are not limited to, adhesives of various forms, welds, solders, nails, screws, pins, rivets, crimping, and the like.

In other preferred coupling arrangements, the relevant part of the actuator is connected to the relevant object by connection means. Appropriate connection means for such embodiments take the form of a pivot mechanism whereby the relevant part of the actuator is pivotally connected to the relevant object. In such embodiments, movement of the first portion of the first object relative to the second portion of the first object can be further facilitated by the pivotal mechanism between the relevant part of the actuator and the relevant object.

In yet still further preferred coupling arrangements, the relevant part of the actuator is integral with the relevant object. In such embodiments, the relevant part of the actuator may be melted or melded into or onto the relevant object. The relevant part of the actuator and the relevant object may also be chemically treated such that they are caused to become integral with one another.

Further preferred embodiments of the coupling arrangements additionally take into consideration the specific properties of the actuator that enable the actuator to perform its function. For example, where the actuator changes shape when an electrical potential across the actuator is altered, the coupling arrangement may include electrical insulation. The coupling arrangement of such embodiments may additionally or alternatively be formed, at least in part, of an electro-conductive material.

The particular way the coupling arrangement takes into consideration the specific properties of the actuator that enable the actuator to perform its function depends on the circumstances for which the movement facilitation device is being used, and is preferably determined on that basis. Similarly, whether or not the coupling arrangements do, in fact, additionally take into consideration the specific properties of the actuator that enable the actuator to perform its function also depends on the circumstances for which the movement facilitation device is being used, and is also preferably determined on that basis.

In some preferred embodiments, by attaching the actuator at a small radius from an axis of movement, a small change in the actuator's length can cause a significant movement in the first object. Accordingly, in some preferred embodiments where the

device is being used, for example, on a finger joint, a 5% reduction in length of the actuator may achieve full flexion of the finger joint, when the actuator is coupled as described above.

Some specific examples of appropriate constructions for the coupling arrangements are described in more detail below with reference to specific preferred embodiments of the movement facilitation device and/or specific preferred embodiments of a plurality of movement facilitation devices functionally coupled so as to work together toward specific aims.

The operating means of preferred embodiments operates the actuator. Accordingly, preferred operating means are capable of operating at least one of the preferred embodiments of the actuator described above. Some preferred embodiments of the operating means are capable of operating more than one, or all, preferred and alternative embodiments of an actuator capable of being used in the performance of the present invention.

Some preferred embodiments of an operating means for operating an actuator formed of a memory material responsive to a change in electrical potential, include:

- a power source having an on/off switch; and
- at least one actuator interface linking the power source to the actuator, wherein when the power source is switched off, no power passes through the actuator interface and there is no change in electrical potential across the actuator, and wherein when the power source is switched on, power passes through the actuator interface, and an electrical potential across the actuator is altered, thereby causing the actuator to operate.

Other preferred embodiments of an operating means for operating an actuator formed of a memory material responsive to a change in electrical potential, include:

- a power source;
- a digital to analog converter having a computer interface; and
- at least one actuator interface, wherein when the digital to analog converter receives a signal from a computer, the signal is conveyed through the actuator interface, thereby altering the electrical potential across the actuator causing the actuator to operate.

In such embodiments of an operating means for operating an actuator formed of a memory material responsive to a change in electrical potential, the actuator interface is preferably an electricity carrying means connectable to the actuator.

Further preferred embodiments of an operating means for operating an actuator formed of a memory material responsive to a change in temperature, include:

- a power source having an on/off switch;
- at least one actuator interface; and
- 5 a temperature changing means operably connected to the power source and to the actuator via the actuator interface,

wherein when the power source is switched off, no power passes to the temperature changing means and the temperature of the actuator is unchanged, and

- wherein when the power source is switched on, power passes to the temperature
- 10 changing means which causes the actuator to change temperature, thereby causing the actuator to operate.

Still further preferred embodiments of an operating means for operating an actuator formed of a memory material responsive to a change in temperature, include:

- a power source;
- 15 a digital to analog converter having a computer interface;
- at least one relay operably connected to the digital to analog converter;
- at least one actuator interface; and
- a temperature changing means operably connected to the relay and to the actuator via the actuator interface,

- 20 wherein when the digital to analog converter receives a signal from a computer, the signal is conveyed to the relay which activates and passes power to the temperature changing means which causes the actuator to change temperature, thereby causing the actuator to operate.

In such preferred embodiments of an operating means for operating an actuator

25 formed of a memory material responsive to a change in temperature, the temperature changing means is a heater in which case the actuator is heated when the heater receives power from the power source.

In further preferred embodiments, the temperature changing means is an electricity carrying means capable of carrying sufficient electricity to cause the actuator to increase

30 its temperature when the electricity passes from the temperature changing means to the actuator. Such embodiments would be particularly valuable where the actuator is formed of Nitinol.

In other such preferred embodiments of an operating means for operating an actuator formed of a memory material responsive to a change in temperature, the

temperature changing means is a cooler in which case the actuator is cooled when the cooler receives power from the power source.

In many embodiments of the operating means for operating an actuator formed of a memory material responsive to a change in temperature, the actuator interface is preferably formed of a material that is amenable to changing its temperature, and even more preferably such a material that is well suited either to heating or cooling depending upon the specific properties of the actuator with which it is interfaced.

Yet still further preferred embodiments of an operating means for operating an actuator formed of a reel and pulling means which is operated manually, is a rotation means which, when moved, causes the reel to operate.

In such embodiments, the operation means may take the form of a knob located anywhere on the reel, being a location that enables the reel to be operated when the knob is moved. The rotation means is not, however, limited to being a knob, and may take any form that enables adequate performance of its function.

Preferred embodiments of an operating means for operating an actuator formed of a reel and pulling means which is operated automatically, includes:

- a power source having an on/off switch;
- at least one actuator interface coupled to the reel; and
- an automatic rotation means operably connected to the power source and to the actuator interface,

wherein when the power source is switched off, no power passes to the automatic rotation means and there is no movement of the reel, and

wherein when the power source is switched on, power passes to the automatic rotation means causing said means to rotate thereby rotating the reel via the actuator interface and causing the actuator to operate.

In another preferred embodiment of an operating means for operating an actuator formed of a reel and pulling means which is operated automatically, includes:

- a power source;
- a digital to analog converter having a computer interface;
- at least one relay operably connected to the digital to analog converter;
- at least one actuator interface; and
- an automatic rotation means operably connected to the relay and to the actuator via the actuator interface,

wherein when the digital to analog converter receives a signal from a computer, the signal is conveyed to the relay which activates and passes power to the automatic rotation

means causing said means to rotate thereby rotating the reel via the actuator interface and causing the actuator to operate.

In such embodiments of an operating means for operating an actuator formed of a reel and pulling means which is operated automatically, the automatic rotation means may
5 be a motor or any other means capable of causing the reel to automatically rotate. Operation of the actuator, in such embodiments, results in a shortening of the pulling means.

In some embodiments where the actuator is a non-flexible or flexible member, the operating means causes movement of the actuator to change the distance between the first
10 portion of the first object and the operating means.

In many of the embodiments of the operating means which utilise a relay, the relay is preferably a solid state relay. The relay can also be a mechanical relay. In many embodiments of the operating means described above that do not mention a relay, it is important to note that depending on the particular material chosen for the actuator, a relay
15 can additionally be used as a further component of the operating means' circuit.

For example, it is relevant to note that Nitinol responds to a change in temperature. As alluded to above, this change in temperature can be achieved by passing a current through it. For such embodiments, it may be necessary to include a relay in the operating means' circuit, as higher currents are required. On the other hand, actuators formed of
20 polymers or carbon nanotubes as described above respond to a change in current only and do not require a change in temperature. In such embodiments, as only a small amount of current is required, relays may not be a necessary component of the circuit.

Actuators made of the polymers and/or nanotubes have been shown to be capable of generating forces in the order of 1 Newton per cm sample width by the application of
25 potentials no higher than 1 or 2 volts. The current drawn in the operation of such devices is very small and, preferably, in the vicinity of 10mA per Newton.

Many preferred embodiments of the operating means use a computer. Any computer having appropriate software and/or hardware may be utilised in the performance of this invention. In one preferred embodiment, the computer takes the form
30 of a desktop computer. In another preferred embodiment, the computer takes the form of a laptop computer or notebook. In still another preferred embodiment, the computer takes the form of a palmtop computer, such as, for example, a PalmPilot. In yet still further preferred embodiments, the computer is custom made for the purpose of carrying out this invention and is a custom pager sized device.

Provided that appropriate software may be installed on the computer, the computer may have any operating system thereon, including DOS, Windows, Macintosh, Unix, Linux.

5 An example of a basic circuit layout for one preferred embodiment of the operating means which can be connected to a computer is illustrated in Figure 11.

The software of preferred embodiments is tailored to provide the movement facilitation device with the necessary instructions to perform its function. Such instructions vary depending on the purpose for which the device is being used. Some detailed examples of purposes for which the movement facilitation device could be used
10 are given below with reference to specific preferred embodiments of a movement facilitation device and/or specific preferred embodiments of a plurality of movement facilitation devices functionally coupled so as to work together toward specific aims.

By way of preliminary example, where a movement facilitation device or a plurality of such devices are being used to cause movement of a joint or a plurality of joints in a
15 human body the parameters of the preferred software program will allow for customising and selection of protocols to enable desired movements. Preferred parameters for such embodiments of the invention may include: joint selection, joint range of motion, speed of motion, force of motion, duration of motion, direction of motion, and frequency of motion.

20 Where the program LabVIEW Professional Development System (manufactured by National Instruments) is running on the relevant computer, preferred embodiments of the software may be run through LabVIEW. Having said that, it is not necessary for preferred embodiments of the software to be run through LabVIEW or through any other such software development platform program.

25 An example of some preferred embodiments of software for instructing a movement facilitation device having an operating means for operating an actuator formed of a memory material responsive to a change in electrical potential and being used for the purpose of moving at least one human joint is found in figures 12-24. The software program illustrated in those figures was created using LabVIEW.

30 In a second aspect, the present invention provides a movement device for facilitating movement of at least one joint of a patient's body, said device having:

(a) a support structure for enveloping at least a portion of the patient's body proximate the joint, said support structure formed of at least a first strut member positioned so as not to interfere with an ability of the joint to move;

(b) at least one movement means corresponding to the at least one joint, said movement means coupled to the first strut member; and

(c) at least one movement facilitation device having at least one actuator,
a first part of the actuator coupled to a first portion of the first strut member,
5 said actuator for moving said first strut member thereby causing movement of the joint,
and

an operating means coupled to the actuator for operating the actuator.

In some preferred embodiments, the support structure additionally has at least a second strut member and the movement means couples the first strut member to the
10 second strut member. In such embodiments, operation of the actuator causes the first strut member to move relative to the second strut member, thereby causing movement of the joint. In further preferred embodiments, a second part of the actuator is coupled to a second object.

Indeed, in some preferred embodiments, the movement facilitation device is
15 adapted for use with hand and/or finger joint/s and/or thumb joint/s of a patient.

It is relevant to note that the device of such preferred embodiments may significantly benefit people after hand trauma and/or hand surgery (especially helping in the reduction of the formation of scar tissue which has a detrimental effect on hand function). Additionally, or alternatively, the device may be applied to maintain and
20 increase good condition of a person's hand and hand function following spinal cord injury, burns, stroke, the onset of arthritis, septic arthritis, oedema, peripheral nerve injury and/or other syndromes influencing the condition and/or function of the upper extremity, including, for example, cerebral palsy.

The device of such embodiments may additionally or alternatively be used to
25 provide hand function to patients with impaired hand function. Where such patients are capable of achieving some small function without assistance, the device of preferred embodiments may aid those patients to improve that function further. Where such patients are unable to achieve any function without assistance, the device may perform the function on behalf of the patient.

30 This may allow an increase in independence for the permanently disabled and an early restoration of function for those recovering (such as patients with peripheral nerve injury). In addition to allowing injured individuals a greater societal contribution in this way, such an improvement in function will provide a significant cost benefit via the reduction of the need for paid personal carers and a possible early return to work.

When appropriately adapted and when coupled with an appropriate number of other of such devices, the movement device can be used to aid or achieve a full range of hand movements including, for example, pinching, clenching a fist, holding a pen, paintbrush or other such means, holding cutlery, holding a toothbrush, and so on.

5 Such embodiments provide an example of a plurality of movement facilitation devices functionally coupled so as to work together toward specific aims. These are referred to as combination embodiments.

10 In preferred combination embodiments, a support structure envelops the patient's hand. The support structure may envelop a finger, a thumb, more than one finger, a wrist, an elbow, or a shoulder, or appropriate combinations thereof. In some embodiments, the support structure extends from the tip of the fingers and/or thumb to a point distal the wrist. In other embodiments, as the case may be, the support structure may envelop the patient's wrist joint or elbow joint or the patient's entire arm including the shoulder joint.

15 The support structure of some preferred combination embodiments is formed of a plurality of strut members joined together in such a way that does not interfere with the ability of the joints (which the support structure envelops) to move. Of course, where there are certain joints which are not intended to be moved by the application of this invention there is no need for the support structure to be designed to enable the movement of those joints. In some preferred embodiments, however, the support structure
20 additionally envelops joints that are not necessarily intended to be moved or are intended to be splinted in a particular position. In such embodiments the combination embodiment can be worked so that appropriate and opposing movement facilitation devices are operated so as to immobilise the joint.

25 The strut members of preferred combination embodiments may be formed of any material which provides sufficient stiffness and/or resilience to enable the movement facilitation device to perform its function. By way of example only, the strut members may be formed of aluminium, another metal, an alloy, a plastic, or in appropriate circumstances, combinations thereof.

30 A preferred support structure has an artificial joint or other movement means corresponding to at least each of the patient's joints which are intended to be moved by the invention. The artificial joint or movement means of preferred combination embodiments can take any form which allows movement of the patient's joint to which the artificial joint or movement means corresponds.

35 The form which the artificial joint or movement means takes may be designed by reference to the patient's joint to which it corresponds. For example, an artificial joint or

movement means corresponding to the proximal interphalangeal joint may take the form of a single pivot joint capable of moving in one plane only. It may also take the form of a bendable member capable of being bent in one plane only. Similarly, an artificial joint or movement means corresponding to the shoulder joint may take the form of, for example, a ball and socket joint capable of movement in multiple planes. It may also take the form of a bendable member capable of being bent in multiple planes.

The artificial joints or movement means of preferred combination embodiments may be formed of any material which enables the artificial joint or movement means to perform its function, namely, to mimic the range of movements available to the patient's joint to which it corresponds. By way of example only, like the strut members, the artificial joints or movement means of preferred embodiments may be formed of aluminium, another metal, an alloy, a plastic, or in appropriate circumstances, combinations thereof. Indeed, this principle of construction for the artificial joints or movement means highlights the fact that the invention could be used for moving artificial limbs also.

The artificial joints or movement means may also be formed of a material which constantly seeks to retain its shape, such that once a deforming force capable of deforming the shape of the material is removed, the material immediately returns to its former shape. An example is a spring or a spring-clip arrangement. Other materials having this feature include, but are not limited to, rubber and fluid filled flexible tubes. Many of the more preferred combination embodiments have artificial joints or movement means formed of such a material since it will seek to return the patient's joint to its original position after a movement facilitation device (appropriately adapted to the support structure) is caused to cease operating. In this way, while the movement facilitation device may cause, for example, the proximal interphalangeal joint to flex, the artificial joint or movement means will cause the proximal interphalangeal joint to extend thereby returning its position to that prior to the flexion movement caused by the operation of the movement facilitation device. Of course, in some preferred combination embodiments, there may be at least two opposing movement facilitation devices acting on a single joint, such that one causes the joint to flex while the other causes the joint to extend.

Further preferred combination embodiments have at least one movement facilitation device operably coupled via its actuator to at least a first strut member leading from the artificial joint or movement means and, in some embodiments, coupled to a second strut member leading to the artificial joint or movement means. Referring back to the first

aspect of the invention, in such embodiments, the artificial joint or movement means along with at least first and second strut members leading respectively from and to the artificial joint or movement means is the first object.

Further, in one embodiment, the first portion of the first object is the first strut member, the second portion of the first object is the second strut member, and the second object is a component of the second strut member. In another embodiment, the first portion of the first object is the first strut member and the second object is another part of the support structure, preferably proximal the artificial joint or movement means.

In some such preferred combination embodiments, each first object has two movement facilitation devices which can work in opposition to one another, wherein:

the first device, when operated, causes movement of the first object in a way which flexes the human joint to which the first object corresponds; and

the second device, when operated, causes movement of the first object in a way which extends the human joint to which the first object corresponds.

Such combination embodiments are particularly useful for human joints that typically move in one plane only. With respect to the hand, such joints include, for example, the distal interphalangeal joints, the proximal interphalangeal joints and the metacarpophalangeal joints.

In further preferred combination embodiments there are a plurality of movement facilitation devices operably coupled via their actuators to some of the first objects. Such embodiments are particularly useful for first objects which correspond to human joints typically capable of movement in multiple planes, such as, for example, shoulder joints.

In some preferred combination embodiments, there are a plurality of channels for guiding some of the actuators from their respective movement facilitation devices. By way of example only, there may be a channel for guiding all of the actuators from movement facilitation devices that flex each finger joint, namely, the metacarpophalangeal joints, the distal interphalangeal joints and the proximal interphalangeal joints. There may be one such channel for each finger. Similarly, there may be one such channel for guiding all of the actuators from movement facilitation devices that extend each of the finger joints. Again, there may be one such channel for each finger. There may also be other of such channels for guiding other groups of actuators that are, by virtue of their actions, appropriate to be channelled through the same channel.

In other preferred combination embodiments, the movement facilitation devices may be arranged such that their respective actuators run through each other in a

concentric manner. In such embodiments, some of the actuators have a tubular structure with a hollow centre capable of receiving another actuator. In yet still further preferred combination embodiments, the arrangement of the movement facilitation device is such that a physical relationship between their respective actuators mimics that of the anatomical pathways of the human or non-human animal's tendons and, where appropriate, muscles of the hand.

In further combination embodiments, the actuators of each movement facilitation device remain completely independent of one another in the sense that their respective movement facilitation devices are not arranged in such a way that creates a special physical relationship between the actuators.

As suggested above, in some preferred embodiments, by attaching the actuator at a small radius from an axis of movement, a small change in the actuator's length can cause a significant movement in the first object. Accordingly, where the device is being used, for example, on a finger joint, a 5% reduction in length of the actuator may achieve full flexion of the finger joint, when the actuator is coupled as described above.

Having regard to these combination embodiments, operation of each of the respective movement facilitation devices, results in a particular movement of the artificial joint or movement means to which the device is operably coupled, and said movement of the artificial joint or movement means results in a corresponding and relative movement in a particular plane in the human joint to which the artificial joint or movement means corresponds. Ultimately, such embodiments can achieve a full range of movements for the particular human joint that they are seeking to move, since the number of movement facilitation devices corresponds to the number of planes in which that particular human joint can move. For example, preferred combination embodiments seeking to move a joint that moves in a single plane only, there may be two movement facilitation devices adapted for moving that joint. The first movement facilitation device would cause the joint to flex while the second would cause the joint to extend.

For preferred combination embodiments, the operating means is designed to accommodate the relevant number of movement facilitation devices. Accordingly, in some preferred embodiments of the operating means that have an on/off switch for the power source, there may be one on/off switch for each such movement facilitation device. In other preferred embodiments, there may be one such on/off switch for an appropriate plurality of movement facilitation devices, wherein such an appropriate plurality is, for example, a group of movement facilitation devices that perform similar functions, such as, flexion of each of the finger joints.

Similarly, in other preferred embodiments of the operating means that utilise a computer, there may be a corresponding electrical and/or computer data carrying channel for each movement facilitation device, said channel running through a circuit of the operating means via each component thereof and being capable of providing the necessary input for the operation of the movement facilitation device to which it corresponds.

In yet still further preferred combination embodiments, the operating means also has a controlling means for controlling a plurality of operating means. The control means of preferred embodiments has the capacity to receive information from a plurality of operating means and to use that information to control the operation of the operating means so as to achieve purposeful movement of the patient's joints.

The computer and software programs of such combination embodiments are designed to accommodate operating means capable of accommodating the plurality of movement facilitation devices.

In some preferred combination embodiments, there is at least one pressure sensor strategically located on or in the support structure in a proximity of at least one movement facilitation device, and/or on or in the movement facilitation device itself.

The pressure sensor of preferred embodiments is capable of providing feedback to the operating means as to the activity of the patient's joint which is sought to be moved by the movement facilitation device.

In some such embodiments, the pressure sensor senses any pressure difference created by the patient voluntarily moving the joint. Once that activity has been "sensed" by the pressure sensor, a feedback signal is transmitted to the operating means that causes the operating means to operate the actuator, thereby amplifying the patient's desired movement. Such embodiments are particularly valuable for patients who have, for example, a weakness and are capable of very small voluntary movements only.

In another embodiment, the pressure sensor senses any pressure difference created by operation of the movement facilitation device. Once such activity has been "sensed" by the pressure sensor, a feedback signal is transmitted to the operating means providing the operating means with information that it may use to regulate the activity of the movement facilitation device.

In another embodiment still, pressure sensors are located on or in the actuators. In some such embodiments, the pressure sources can provide feedback control of therapy to ensure safe and correct operation. In this way, such pressure sensors may provide a type of artificial proprioception.

In some preferred combination embodiments, there are three pressure sensors for each movement facilitation device where each such sensor has one of the functions described in the previous three paragraphs.

In still further preferred combination embodiments, where bandages or casts are applied, the support structure and/or the struts and/or the movement means may be incorporated into the casting or bandaging.

In yet still further preferred combination embodiments, a glove member which envelops the relevant joints of the hand and/or arm is used instead of the support structure. In other preferred combination embodiments, the glove member envelops the support structure.

The glove member of preferred embodiments can be formed of any material that is suitable to the functioning of the combination embodiment. The preferred glove member may be formed of a material that is aesthetically pleasing such that the glove can be worn as an item of clothing.

Indeed, in preferred combination embodiments of the invention, actuation is incorporated into a glove member improving its cosmetic appeal. Donning and doffing of the glove member may produce more reliable positioning of the hand in relation to the actuators than previously possible with the prior art. This will maximise the benefit and reduce the risk of damage occurring during operation. In some preferred combination embodiments, the movement facilitation devices are able to control the total of fifteen metacarpophalangeal, distal interphalangeal and proximal interphalangeal joints to provide specific therapies which were not previously possible with the prior art. Some individual joints within the glove member can be held stiff (splinted) to increase therapeutic options ahead of previous modalities. Therapy is electronically controlled and programmed. Such programs can be entered or downloaded to a small battery powered electronic control unit. Such programs of operation can modify, for example, the specific joints that are moved, the range of motion for each joint, the speed of movement, and the strength of movement.

This preferably provides increased flexibility and effectiveness to target specific regions with different therapies. One preferred combination embodiment is lightweight and portable and preferably provides the possibility of grasp control. With the provision of lightweight actuating materials incorporated into movement facilitation devices of preferred combination embodiments (including, for example, any combination of a conducting polymer, carbon nanotubes and Nitinol), the portability, efficiency, cosmetic appeal, effectiveness and flexibility for therapy and function will present significant advantages over the prior art.

It is envisaged that preferred combination embodiments will be applied to people post-hand trauma and post hand surgery. Also such embodiments can be applied to maintain and increase good condition of the users hand following spinal cord injury, burns, stroke, the onset of arthritis, peripheral nerve injury and/or other syndrome
 5 influencing the condition and function of the upper extremity.

Preferably, there is at least one mode of operation for preferred combination embodiments. Two such modes are, for example, Continuous Passive Motion Mode and Grasp and Release Mode.

In preferred embodiments of Continuous Passive Motion Mode, speed, force, range
 10 of motion and number of cycles may be programmed by a clinician for each moving joint. Splinting or locking can be applied to each non-moving joint. The user will be able to begin their individual therapeutic program via a button press at the portable programmer unit.

In preferred embodiments of Grasp and Release Mode, programming for the
 15 movement of each joint may be performed in a series of steps. Initially, a clinician can program the preferred combination embodiments to produce an open hand. Subsequently, the order of operation of joints necessary for hand closure in a particular hand grasp configuration can be programmed. The degree of flexion for each joint can be programmed for each desired hand grasp configuration. The speed of overall hand closure
 20 can then be established and programmed. Subsequent to programming, hand closure and opening can be achieved by the user pressing a button on the programmable unit.

In another mode of operation, the combination embodiments can also be programmed such that certain joints are splinted or held stiff with coactivation of opposing movement facilitation devices.

25 In a third aspect, the present invention provides a rehabilitation glove for facilitating movement of a patient's metacarpophalangeal, proximal interphalangeal and distal interphalangeal joints, said glove having:

(a) a support structure for enveloping at least the patient's fingers and thumb, said support structure formed of a plurality of strut members positioned so as not to interfere
 30 with finger and thumb movement;

(b) at least one movement means corresponding to each of the joints, said movement means coupling at least a first strut member;

(c) at least one movement facilitation device corresponding to each of the movement means, said movement facilitation device having at least one actuator,

a first part of the actuator coupled to a first portion of the first strut member, said actuator for moving said first strut member thereby causing movement of the joint, and

an operating means coupled to the actuator for operating the actuator.

5 In some preferred embodiments, the rehabilitation glove additionally facilitates movement of a patient's wrist joints.

In some of these preferred combination embodiments, the support structure additionally has at least a second strut member and the movement means couples the first strut member to the second strut member. In such embodiments, operation of the actuator
10 causes the first strut member to move relative to the second strut member, thereby causing movement of the joint. In further preferred embodiments, a second part of the actuator is coupled to a second object.

The discussion of preferred combination embodiments has focussed on the use of such embodiments for the hand. As indicated earlier, the device of preferred
15 embodiments may be used with all the joints in a human or non-human animal or a mimic thereof. Accordingly, combination embodiments may be specifically designed to accommodate other parts of the body including, for example, feet, legs, hips, back, neck and jaw.

In a fourth aspect, the present invention provides a process for causing movement
20 between a first portion of a first object and a second portion of the first object, said process comprising:

(a) providing a movement facilitation device having:

at least one actuator, a first part of the actuator coupled to the first portion of the first object, said actuator for moving said first portion with respect to the second portion,
25 and

an operating means coupled to the actuator for operating the actuator; and

(b) operating the operating means, thereby causing the actuator to move the first portion relative to the second portion.

Brief Description of the Drawings

30 Figure 1 is a schematic representation of one combination embodiment having a plurality of movement facilitation devices, said combination embodiment being worn on the finger of a patient.

Figure 2 is an enlarged view of the coupling arrangements for movement facilitation devices at the distal and proximal interphalangeal joints of the finger, for the combination embodiment of figure 1.

Figure 3 is a schematic representation of one preferred combination embodiment having a glove member, and illustrates the pager-sized, battery powered programmable, portable operating means.

Figure 4 is a schematic representation of a electromechanical actuator in the form a bimorph comprising a laminate structure formed by electrochemically polymerising polypyrrole onto a platinum coated PVDF membrane;

Figure 5 is a schematic representation of an axial force electromechanical actuator;

Figure 6 is a graph of electrolytic efficiency against polymer thickness of a film of polypyrrole on a glassy carbon disc electrode obtained using an applied voltage of $-1.0\text{ V} \sim +0.50\text{ V}$ with a pulse width of 250 msec;

Figure 7(a) is a graph comparing stress generated by unplatinised and platinised polypyrrole films;

Figure 7(b) is a schematic diagram illustrating the effect of iR (voltage) drop on the actuation of polypyrrole film;

Figure 8 is a partial side view of electromechanical actuators embodied by the present invention;

Figure 9 is a schematic representation illustrating the manufacture of an electromechanical actuator of the present invention; and

Figure 10 is a graph showing charges transported by electromechanical actuators of the present invention against pitch of the helix of a conductor of the respective actuators.

Figure 11 is an illustration a basic circuit layout for one preferred embodiment of the operating means from the present invention.

Figure 12 is a front panel view or user interface of the software of the present invention which is programmed with a two wire configuration.

Figure 13 is the graphical programming code corresponding to the front panel of Figure 12.

Figure 14 is a further graphical programming code corresponding to the front panel of Figure 12.

Figure 15 is a front panel view or user interface of the software of preferred embodiments programmed with a three joint configuration.

Figure 16 is a graphical programming code corresponding to the front panel of Figure 15.

Figure 17 is a further graphical programming code corresponding to the front panel of Figure 15.

Figure 18 is a further graphical programming code corresponding to the front panel of Figure 15.

5 Figure 19 is a front panel view or user interface of the software of preferred embodiments programmed with a "pulse percent on" configuration.

Figure 20 is a further front panel view or user interface of the software of preferred embodiments programmed with a "pulse percent on" configuration.

10 Figure 21 is the graphical programming code corresponding to the front panels of Figures 19 and 20.

Figure 22 is a front panel view or graphical interface of the software of preferred embodiments programmed with a four wire configuration.

Figure 23 is the graphical programming code corresponding to the front panel of Figure 22.

15 Figure 24 is a further graphical programming code corresponding to the front panel of Figure 22.

Figure 25 is a schematic representation of a joint illustrating the relative radius from the axis of movement of the joint from which the actuator preferably exerts force on the first object.

20 Detailed Description of Preferred Embodiments of the Invention

Preferred combination embodiments include a structure 10, such as a support structure, surrounded by cloth-like material. In some preferred combination embodiments, the support 10 may be incorporated into and around dressings that need to be applied to an injured hand. In other preferred combination embodiments, the support structure 10 sits outside the hand 12 and is built into a glove member 25. The preferred combination embodiment is then secured at the proximal interphalangeal 13 and distal interphalangeal 14 joints by firm bands 16 (which may be slightly elasticised to ensure their secure placement at the desired position about the finger 11) or anchoring connectors, for attachment to bandaging or casts.

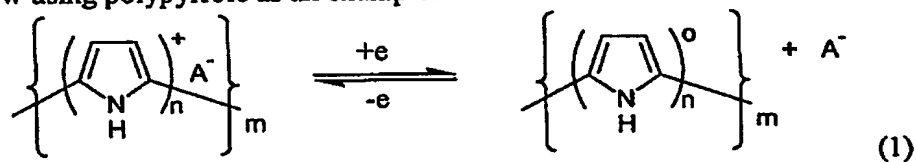
30 The support structure 10 has a plurality of movement means 20, such as a hinge, that sit on both sides of each of the proximal interphalangeal 13 and distal interphalangeal 14 joints. These hinges 20 are held together by strut members 18 at the sides of the fingers 11. The hinges 20 are to be lockable so that the position of each joint can be maintained fixed whilst force is being applied. The metacarpophalangeal joints 15 may be

splinted by inserting rods 32 into the glove member 25 on a dorsal side. The actuator 30 (see 30a and 30b on Figure 2) passes directly over, or is attached close to, the hinges 20 to move the proximal interphalangeal 13 and distal interphalangeal 14 joints.

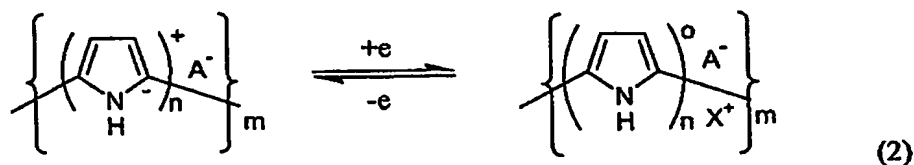
For the operation of the metacarpophalangeal joints 15, the actuator 30 (see 30a and 30b on Figure 2) may be attached to the firm band 16 just distal to the metacarpophalangeal joint 15 on both dorsal and volar aspects of the glove member 25. At the hinges 20, agonist 30a and antagonist actuators 30b can act at a small radius from a longitudinal axis 50 associated with the finger 11 to produce a large movement.

Some preferred actuators are those of the electromechanical type. Electromechanical actuators based on inherently conducting polymers (ICPs) can be viewed as simple electrochemical cells in which the application of a potential creates dimensional changes in one or more of the electrode materials. The ability to efficiently inject or extract charge from the polymer(s) utilised without mechanical degradation of the system determines the overall actuator performance possible. Hence, the electrochemical properties of polymer(s) utilised dictate the level of performance obtainable.

Conducting polymers are oxidised/reduced according to Equations (1) and (2) set out below using polypyrrole as an example:



AND/OR



A^- is a dopant anion, X^+ is a cation from the supporting electrolyte, n is an integer of from 1 to X and is most usually 3 or 4. The symbol m represents the number of repeat units of the polymer thereby determining the molecular weight of the polymer.

For small mobile anions (A^-) the process described by Eq. 1 predominates whereas for larger immobile anions (such as polyelectrolytes), processes described by Eq. 2 will

predominate. In practice, for most anions, a mixture of both processes occurs. Accompanying anion expulsion (Eq. 1) is a decrease in volume of the conducting polymer. Alternatively, if cations are incorporated into the polymer (Eq. 2) during the redox reaction, the volume of the polymer increases⁽¹⁾. These dimensional changes may be translated into a bending motion using a bimorph⁽²⁾ as illustrated in Fig. 4, or a uniaxial force⁽³⁾ using an appropriate configuration as for example illustrated in Fig. 5.

To maximise energy efficiency, the conducting polymer should be oxidised/reduced at minimal potentials and the process not be limited by kinetic effects.

However, with all conducting polymers the latter is an inherent limitation since movement of ions through the electrolyte and polymer is diffusion controlled.

Transitions induced by polymer oxidation/reduction may have an effect on the ability of a polymer to actuate⁽⁴⁾. For instance, a polymer becomes more resistive (that is, resistance R increases) with electrochemical reduction making subsequent reduction or oxidation more difficult since:

$$E = E_{app} - iR \quad \dots\dots\dots (3)$$

where E is the potential at the polymer, E_{app} is the potential applied by an external power source and i is the current. Change in the electronic properties of the polymer makes efficient charge injection throughout the polymer, especially to the reduced state, desirable.

Chemical properties of a polymer can also change dramatically with properties such as hydrophobicity being dependent on the oxidation state⁽⁴⁾. This, in turn, influences which electrochemical mechanism (Eq. 1 or 2) predominates. For example, if hydrophobicity of a polymer dramatically increases upon reduction it is easier to extract anions from the polymer than inject highly hydrated cations into the polymer.

In addition, mechanical properties of a polymer can be greatly influenced by the potential applied⁽⁵⁾ and hence, the redox state of the polymer. In this regard, a polymer can become significantly more ductile in the reduced state, and such changes in mechanical properties may well influence the efficiency of an electromechanical actuator.

The above illustrates that actuator performance and efficiency are dependent on the ability to inject or extract charge from the polymer with low energy consumption. The ease of charge injection/extraction is reflected in a parameter denoted as electrolytic efficiency (EE). The electrolytic efficiency is a measure of the ability to access all the available electrochemical sites of a polymer that can contribute to actuation. Specifically, the electrolytic efficiency of a system can be defined as:

$$EE_{ox} = \frac{\text{Charge passed during oxidation}}{\text{Charge for complete oxidation}^{(a)}} \times 100$$

$$EE_{red} = \frac{\text{Charge passed during reduction}}{\text{Charge for complete reduction}^{(a)}} \times 100$$

(a) Estimated from charge consumed during growth and assuming $n=3$ in Eqs. (1) and (2).

The effect of polymer thickness on electrolytic efficiency of a polymer film deposited on a glassy carbon disc electrode (ie. with substantially ideal electrical connection) is shown as a function of polymer thickness in Fig. 6. The polymer used in this study was polypyrrole. From the graph, it is clear that only a very thin film ($<0.27 \mu\text{m}$) gave high electrolytic efficiency. This corresponds to an electrode contact surface area to polymer volume ratio of $3.7 \times 10^4 \text{ cm}^2/\text{cm}^3$. Generally, however, only freestanding films of a thickness greater than about $4 \mu\text{m}$ have adequate mechanical properties for actuation.

While the polymer(s) and electrolyte used determine the maximum performance of an electromechanical actuator that can be expected, practical issues such as the efficiency of the electrical connection to the actuator may also be a limiting factor.

Improvement in actuation performance may be obtained by platinising a conducting polymer film in order to minimise iR (ie voltage) drop effects along the length of the actuator as indicated in Figs. 7(a) and 7(b). In particular, an unplatinised polymer film was found to produce approximately 0.5 MPa stress during isometric testing. In contrast, when contraction was induced by electrochemical stimulation, an identical platinised film generated 3 MPa stress.

For most efficient performance an electromechanical actuator should not only allow efficient injection and extraction of charge, but should also desirably enhance or at least not interfere with the mechanical and electromechanical properties of the device. In the example illustrated in Fig. 7, although enhanced electrical connection to the polymer was obtained, the coating of the polymer with platinum (sputter coating) markedly decreased the strength of the polymer film.

Electromechanical actuators of the present invention formed of appropriate polymers are illustrated in Fig. 8. In particular, the embodiment illustrated by A

comprises a tube of polypyrrole (PPy) alone. The further actuators indicated by B, C and D comprise a tube of the polymer incorporating a longitudinally extending helix formed by a conductor comprising platinum wire. While platinum is used in the present example, the wire may be made from other suitable metals. Indeed, the wire may be formed from conducting materials other than metal. In the actuators shown, the pitch of the helical wire for embodiment B is 10 turns cm^{-1} , while the pitch for embodiments C and D is 15 turns cm^{-1} and 25 turns cm^{-1} , respectively.

The manufacture of preferred electromechanical actuators will now be described with reference to Fig. 9. Briefly, a conductor comprising a 25 μm platinum wire 100 is wrapped around a straight 125 μm platinum wire template 101 to form a helix therealong. The conductor and template wires are then placed in a polymer electrolyte solution 102 and electroplated for 24 hours at -28°C to form a tube of polymer 103 around the template wire 101 and conductor wire 100 as indicated in step (iii), prior to removing the formed polymer tube 103 from the electrolyte solution 102 as indicated in step (iv). The template wire 101 is then slid from the polymer tube 103 prior to electrical connectors in the form of short inserts 104 and 105 of 125 μm platinum wire being inserted into each end 106 and 107 of the polymer 103 as indicated in steps (v) and (vi). Each end of a connector wire 108 and 109 is then wrapped tightly around the corresponding short wire insert 104 or 105, and fixedly glued in position thereon by an epoxy resin 110 as indicated in step (vii).

If desired, the template wire 101 may be left in position within the longitudinally extending interior passageway of the polymer tube 103 rather than removing it as indicated above.

As suggested above, in some preferred embodiments, by attaching the actuator at a small radius from an axis of movement, a small change in the actuator's length can cause a significant movement in the first object (see Figure 25 in which "X" illustrates the small radius from the axis of movement of the joint from which the actuator 30 applies a force and as a result of which the actuator 30 is capable of causing a large movement in the joint). Accordingly, where the device is being used, for example, on a finger joint, a 5% reduction in length of the actuator may achieve full flexion of the finger joint, when the actuator is coupled as described above.

Note also that coactivation of agonist 30a and antagonist actuators 30b across a joint is a mechanism by which "splinting" of that joint may be achieved.

Actuators 30 acting at the distal interphalangeal joint 14 will pass through the proximal interphalangeal 13 hinge 20 directly over its longitudinal axis 50.

The wrist joint (not specifically illustrated) may have actuators 30 acting across it which are also attached to the glove member 25 and/or structure 10 and/or bandaging or cast.

Preferred combination embodiments are powered and controlled by a portable, pager-sized, battery powered programmable operating means 85. The operating means 85 may be interfaced to a computer for therapist programming 99.

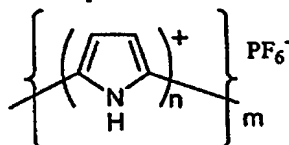
Figure 11 provides an illustration of a basic circuit layout of the operating means 85 of one preferred embodiment. In the figure, "DAQ" stands for "data acquisition" and "DIO" stands for "input/output channels", and will be used for data and control communication. The term "muscle wires" has been used to refer to the actuator 30.

Figures 12 - 24 illustrate front panels or user interfaces for various embodiments of the invention programmed to cause movement of a patient's joint, along with the corresponding graphical programming code for those front panels.

The invention will now be further described with reference to a number of examples, for the electromechanical actuators.

Example 1

For the purpose of demonstrating characteristics of electromechanical actuators of the invention, a number of actuators were prepared and tested using polypyrrole polymer with hexafluorophosphate (PF_6^-) as a dopant as indicated below.



wherein $n = 2-4$, and $m =$ the number of repeat units of the polymer.

Specifically, the actuators were prepared:

- (a) as a strip by electrodeposition onto a platinum (Pt) plate from a solution containing 0.06 M pyrrole and 0.05 M PPy/ PF_6 in propylene carbonate at a current density of 0.15 mA/cm²;
- (b) as a tube but with no helical wire conductor using the method described above with reference to Fig. 9 and the solution and electrochemical conditions as for the preparation of the strip actuator in (a) above;
- (c) as a tube with a helical wire conductor using the method described above with reference to the Fig. 9 and using the solution and electrochemical conditions as for the preparation of the strip actuator as in (a) above.

The tube configuration results in improved electronic, mechanical and electrochemical properties as summarised below in Table 1. Mean values are shown.

Table 1: Characteristics of tube actuator compared to flat film actuator

| | Tube (no helix) (PPy/PF₆) | Flat Film (PPy/PF₆) |
|--|---|---------------------------------------|
| Conductivity (Scm^{-1}) | 170 | 85 |
| Tensile strength (MPa) | 23 | 6.0 |
| Elongation to break (%) | 17 | 8.0 |
| Electrolytic efficiency (%) | 10 | 5.0 |

The electrochemical efficiency of the tube configuration compared to the flat film indicates that more of the tube is electrochemically accessible than the corresponding strip. However, even with the tube configuration, enhanced electrolytic efficiency and actuation was obtained with just one and then both ends of the tube connected to the short wire inserts 104 and 105 suggesting improved electrical connection with the polymer was obtained utilising the wire inserts as indicated in Table 2.

Table 2: Characteristics of tube actuator with and without helical conductor

| | Tube (no helix) One end connected (PPy/PF₆) | Tube (no helix) Both ends connected (PPy/PF₆) |
|------------------------------------|---|---|
| Electrolytic efficiency (%) | 3.5 | 5.0 |
| Stroke (strain) (%) | 0.23 | 0.33 |
| Stroke rate (%/sec) | 0.48 | 0.67 |

Example 2

A number of tube actuators of the invention incorporating helical conductors were prepared and the performance of three samples is shown in Table 3.

- Resistance of the actuators were measured after locating wire inserts 104 and 105 in each end of the polymer tube, respectively.
- All the polymer helices were between 45 and 55 mm long.
- All polymer helices tested were from the same batch and prepared under a current density of 0.15 mA/cm² for 24 hours.
- A platinum (Pt) wire helix was used with a pitch of 25 turns/cm.

Table 3: Comparison of characteristics between the actuators with helical conductor

| Polymer Helix | Resistance (Ω)* | Strain (%) | Strain rate (%/sec) |
|---------------|--------------------------|------------|---------------------|
| Helix 2 | 4-8 | 0.7 | 1.4 |
| Helix 3 | 4-8 | 0.8 | 1.6 |
| Helix 5 | 4-8 | 0.8 | 1.6 |

In all cases, the inclusion of the helical wire in the actuator resulted in improved electrochemical and actuator performance. By forming the conductor wire into a helix, the wire is able to readily extend and contract in length with expansion and reduction of the volume of the polymer tube.

Example 3

The effect of the pitch of the helical wire on actuator performance was investigated. (Detail how the results were obtained.) The results set out in Table 4 suggests use of low pitch provides better performance as indicated by the increase in strain obtained. The increase in strain at lower pitch is in agreement with the increase in electrochemical efficiency at lower pitch as indicated in Fig. 10.

Table 4: Effects of pitch of helical conductor on strain under different applied frequencies

| Operating Frequency | Strain (%) | |
|---------------------|------------------------------|-------------------------------|
| | Low Pitched (10 turns/cm) | High Pitched (25 turns/cm) |
| 10.0 Hz | 0.10 | 0.1 |
| 5.0 Hz | 0.28 | 0.1 |
| 2.0 Hz | 0.38 | 0.2 |
| 1.0 Hz | 0.67 | 0.16 |
| 0.5 Hz | 1.0 | 0.1 |

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1. M.R. Gandhi, P. Murray, G.M. Spinks, and G.G. Wallace, "Mechanism of electromechanical actuation in polypyrrole," *Synth. Met.* 73, pp. 247-256, 1995.
2. R.H. Baugbman, "Conducting polymer artificial muscles," *Synth Met.* 78, pp. 339-353, 1996.
3. T.W. Lewis, L.A.P. Kane-Maguire, A.S. Hutchison, G.M. Spinks, and G.G. Wallace, "Development of an all-polymer axial force electrochemical actuator," *Synth. Met.* 102, pp. 1317-1318, 1999.

4. G.G. Wallace, G.M. Spinks, and P.R. Teasdale, "Conductive Electroactive Polymers: Intelligent Materials Systems, Technomic, Lancaster, 1997.
5. P. Murray, G.M. Spinks, G.G. Wallace, and R.P. Burford, *Synth. Met.* 97, pp.117, 1998.
- s 6. R. Baughman et. Al., *Science*. 284, pp. 1340, 1999.

Dated 4 September, 2002
Northern Sydney Area Health Service
and
University of Wollongong

Patent Attorneys for the Applicant/Nominated Person
SPRUSON & FERGUSON

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Fig. 3

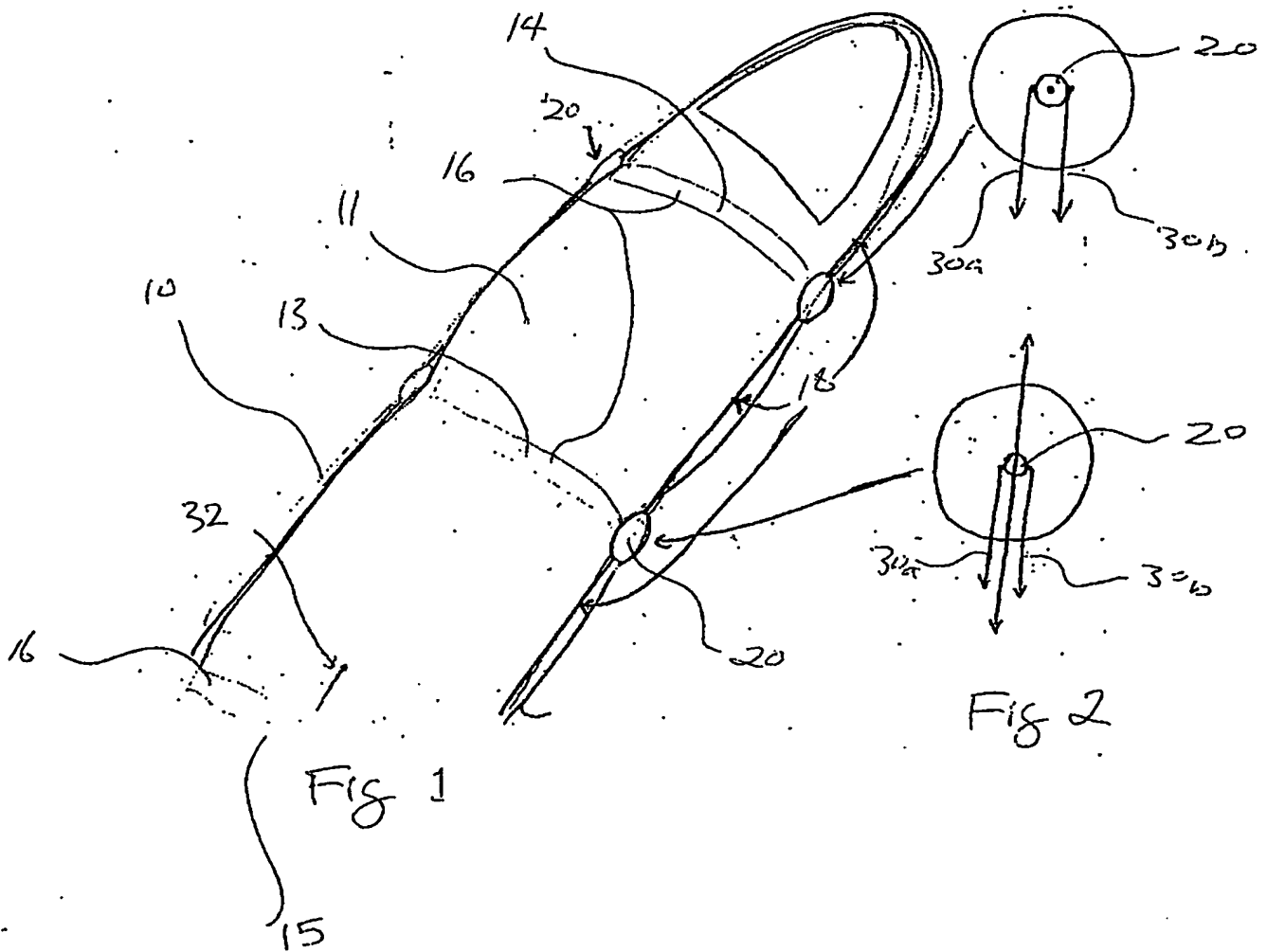
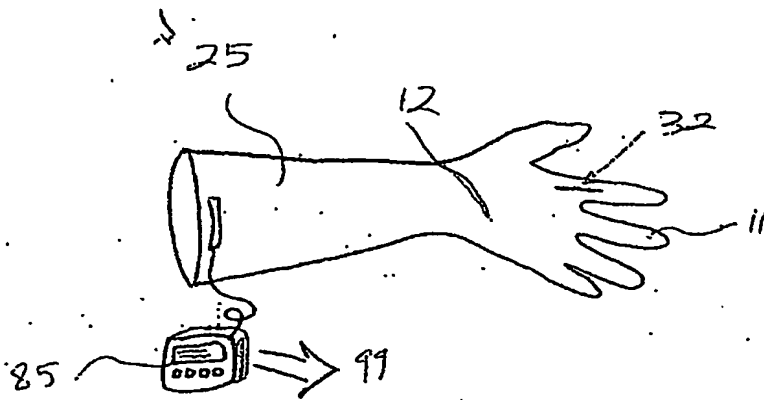


Figure 4

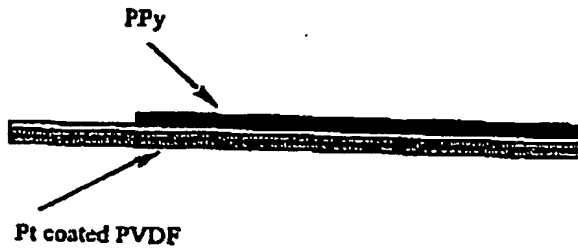
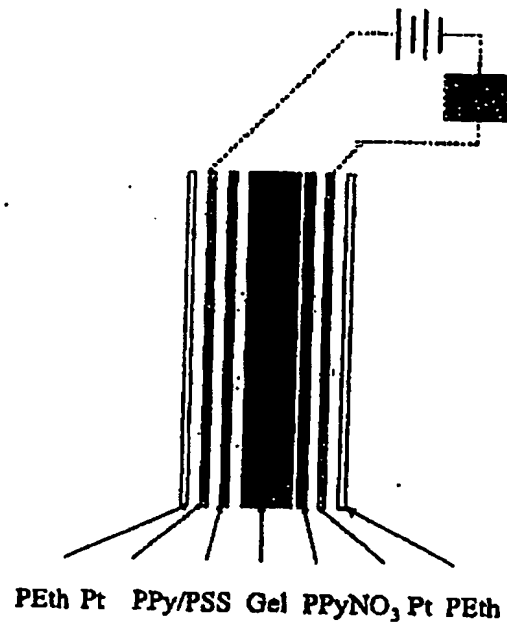


Figure 5



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Figure 6

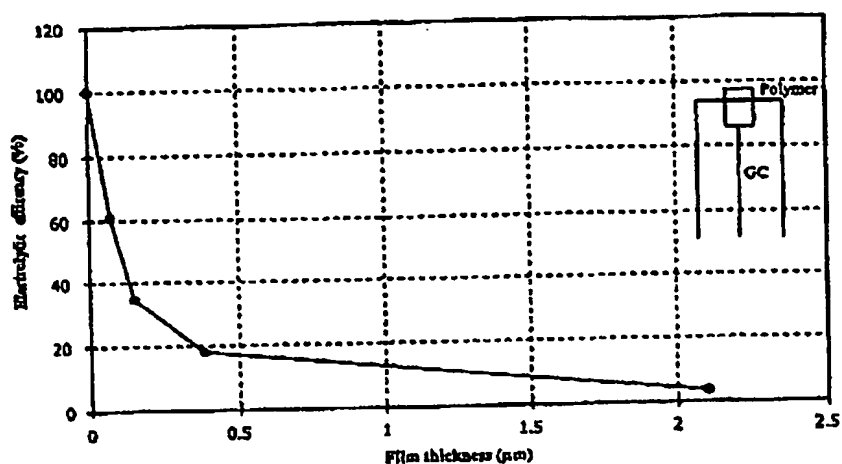


Figure 7(a)

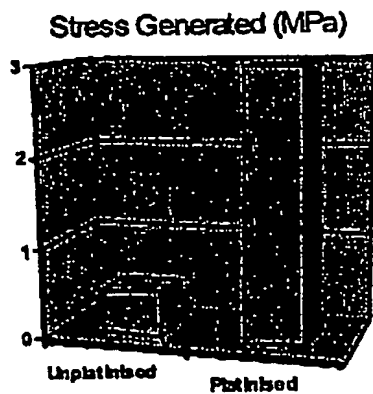


Figure 7(b)

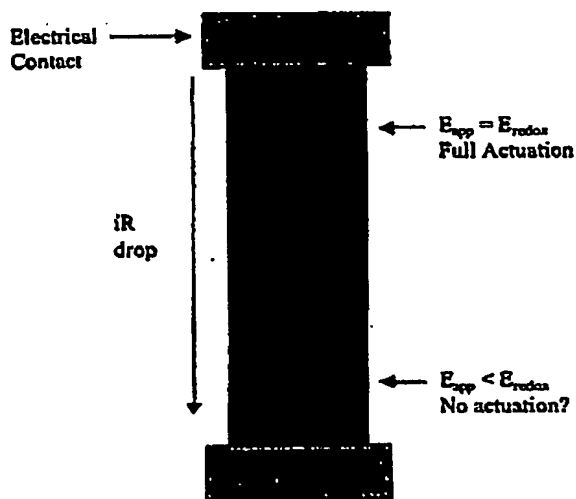


Figure 8

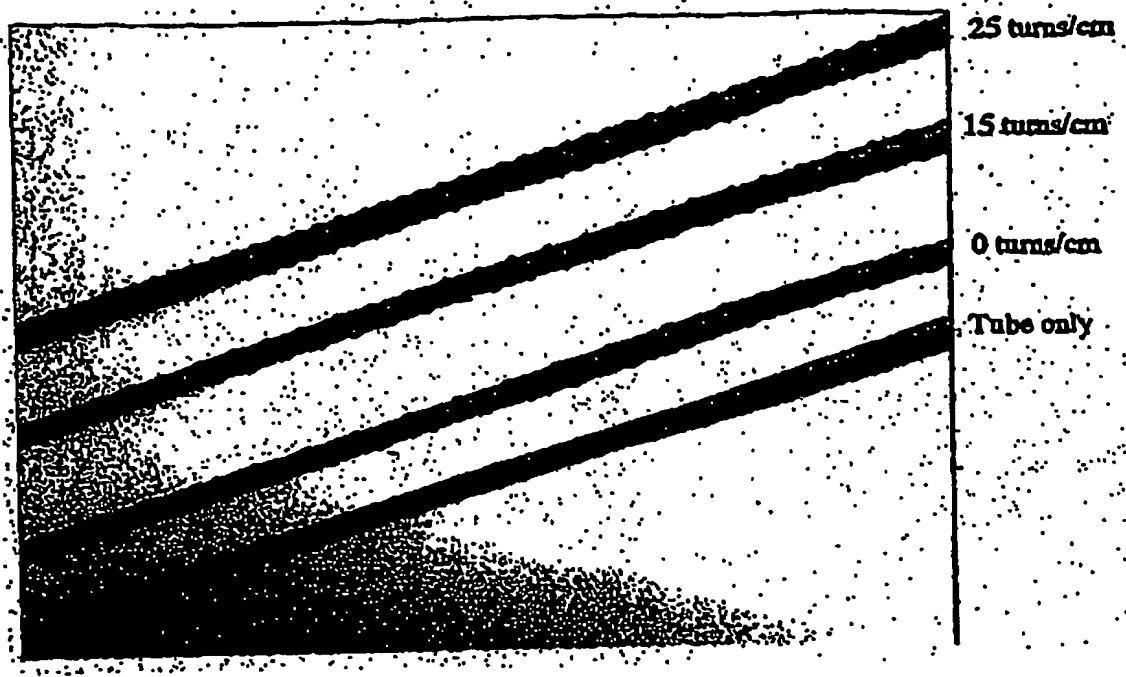
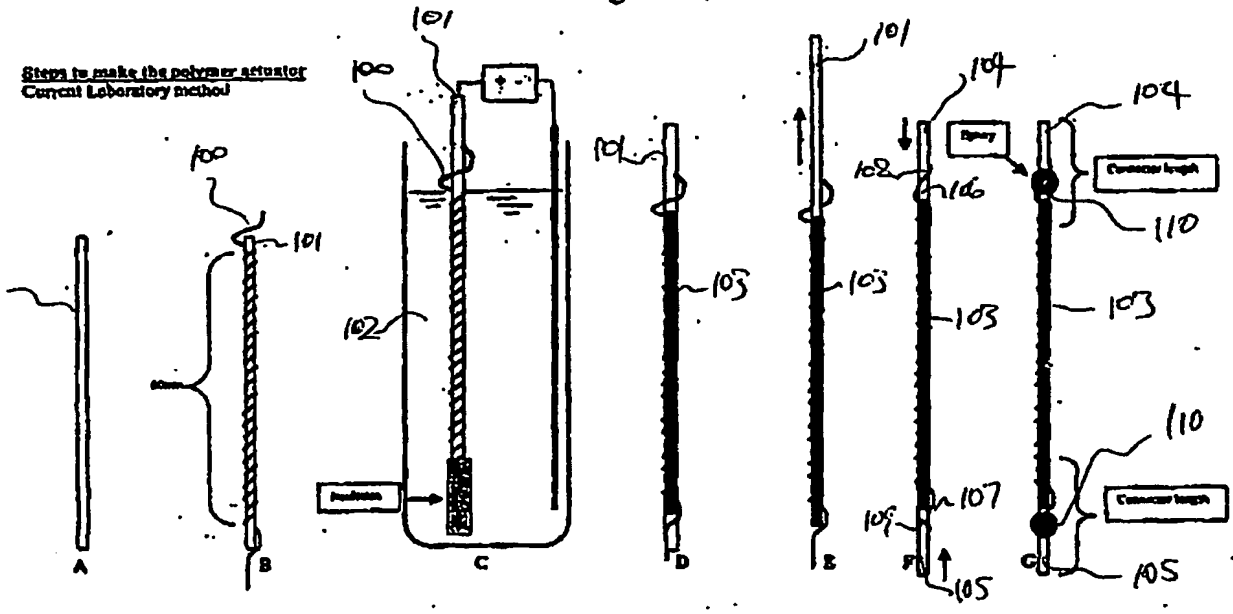


Figure 9

Steps to make the polymer actuator
Current Laboratory method



(i)
125 micron
platinum wire

(ii)
25 micron
platinum wire
is wrapped
around the
wire as a
spiral.

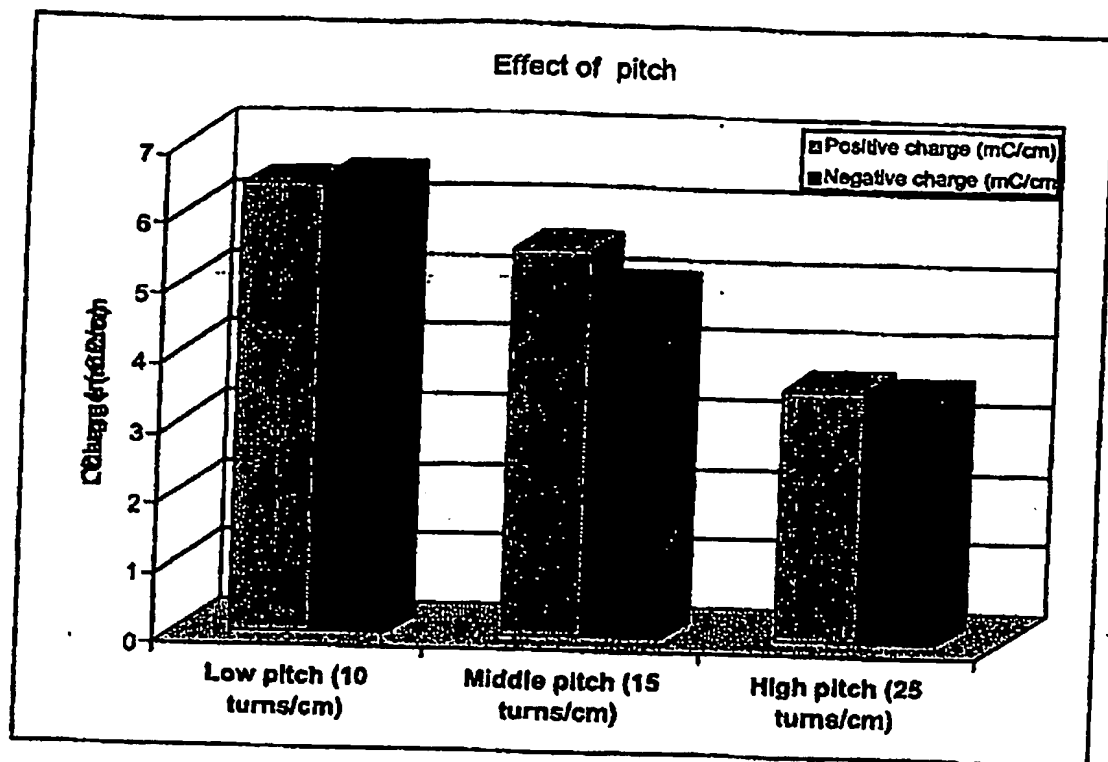
(iii)
Polymer Synthesis
The assembly is placed in
polymer electrolyte solution
and electroplated for 24
hours @ -25 deg. C.
The polymer forms around
the wire & spiral.

(iv)
Polymer
coating
around wire
& spiral

(v), (vi), (vii)
• 125 micron centre wire is withdrawn from the
polymer tube/helix
• 2 short connectors of, 125µm wire, are inserted into
each end. The 25µm wire is pulled tight around these
ends for a good electrical connection, and epoxy
glued to hold in place.
• This is the polymer actuator

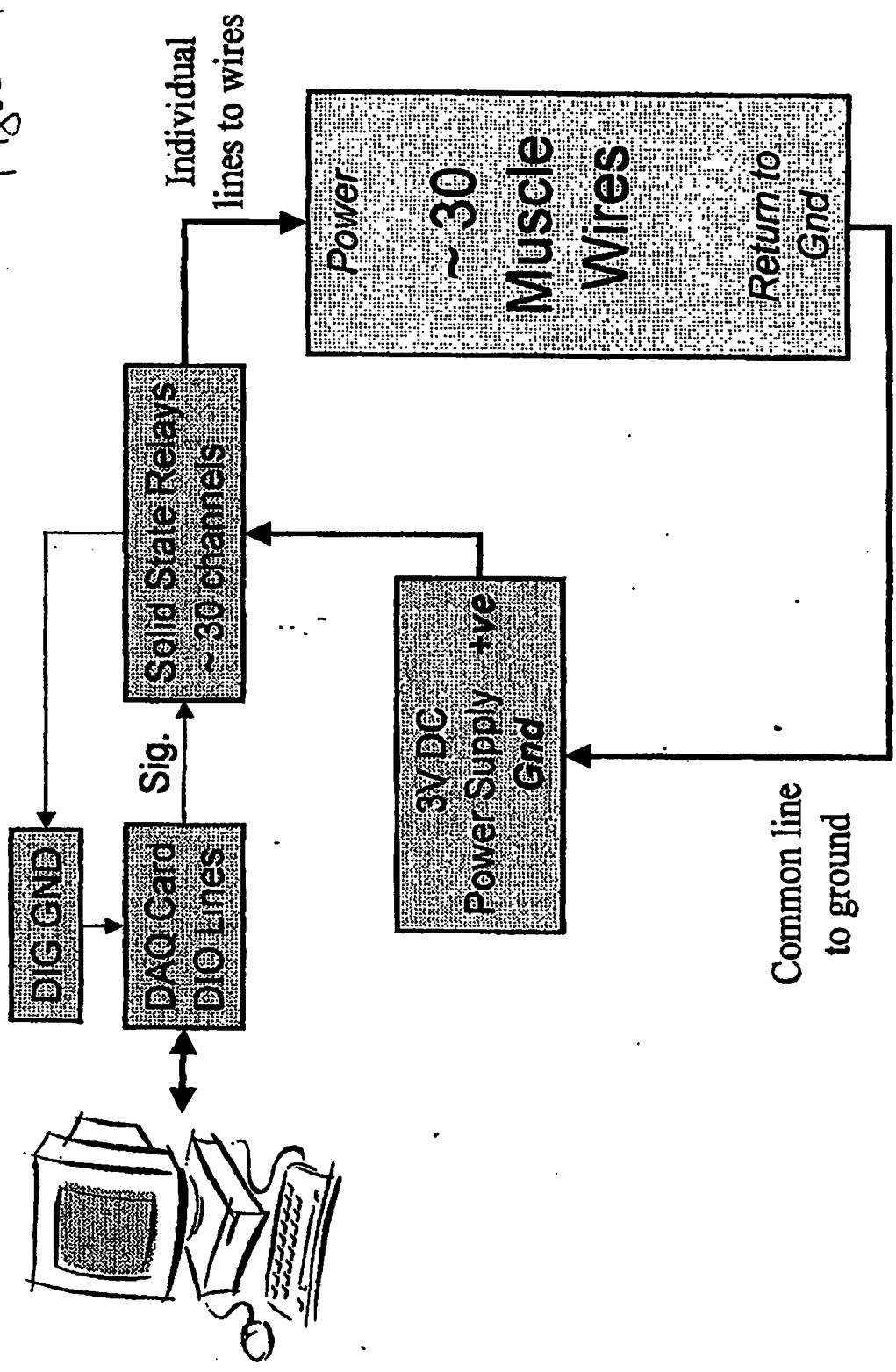
5/20

Figure 10



Basic circuit diagram

Figure 11



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Last modified on 12/3/02 at 12:05 PM
Printed on 12/7/02 at 10:57 AM

PC110-EXE-50 is device 5
on a PowerMac 8500.
Remember to change this if using the
PC110-96 on another computer.

Digital output

Device

Port

Port 1 Channel

Port 2 Channel

PC110-EXE-50 has one
DIO port of 8 lines. It has port
A and port B as port 0.

Program Status

Hit white arrow to Start and
Exit at any time to Finish

Pulse Width Modulation
☐ OFF/ON

On time/per sec/duty cycle

Time to hold (secs)
2.0

Cycles per second
10

% On
50

Program Status

OFF

current finger position

Flexion

Which way to move/
FLEXION C10

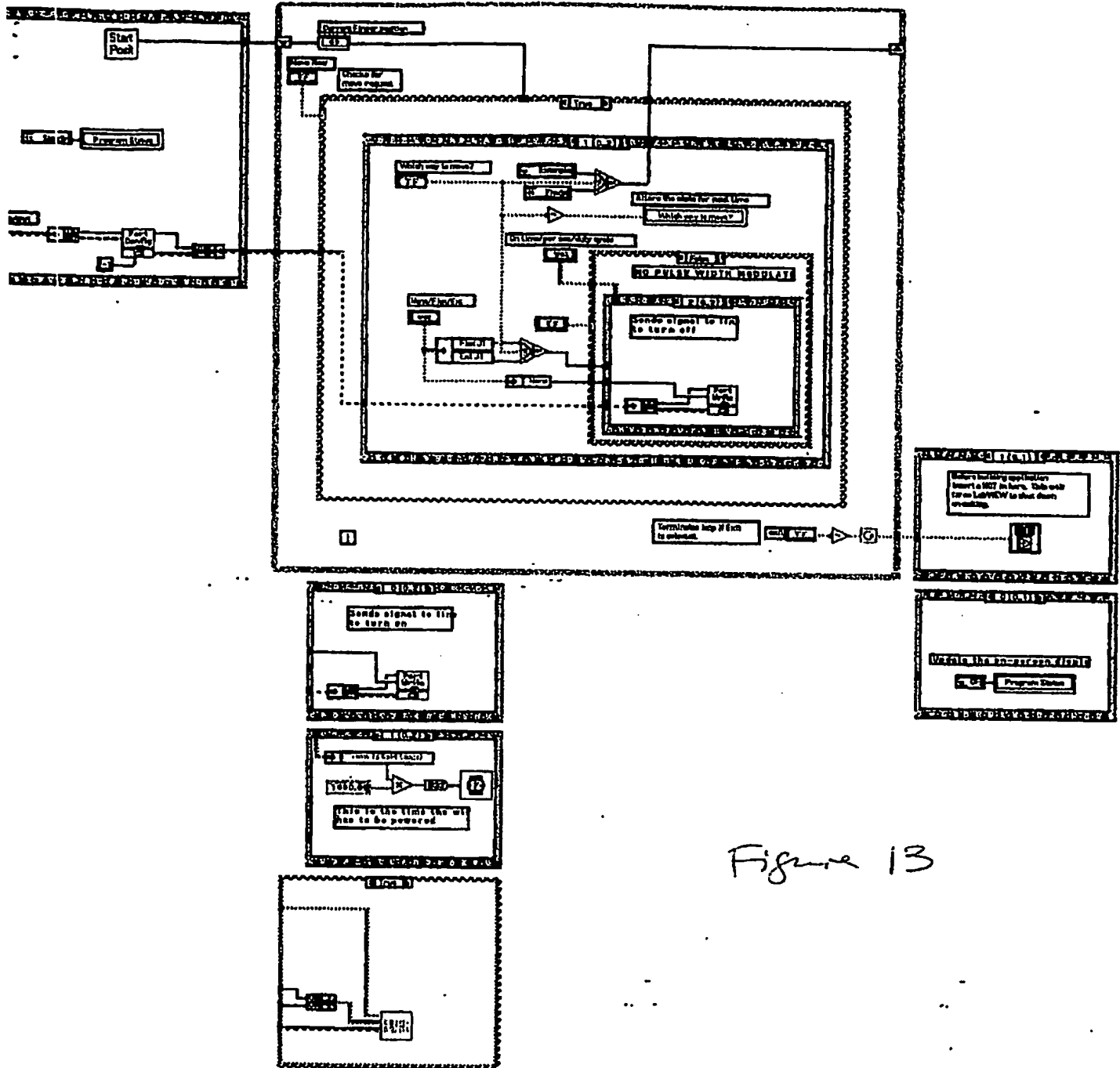
MOVE

EXIT

Figure 12

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wires.vi
 hardo:Users\Rowena\Finger ranger\Muscle Wire Labview new:Two wires.vi
 modified on 12/3/02 at 12:05 PM
 ed on 3/9/02 at 1:04 PM



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9 wires.vi
inards:Users:Rowena:Finger ranger:Muscle Wire Labview new:Two wires.vi
t modified on 12/3/02 at 12:05 PM
ted on 3/9/02 at 1:04 PM

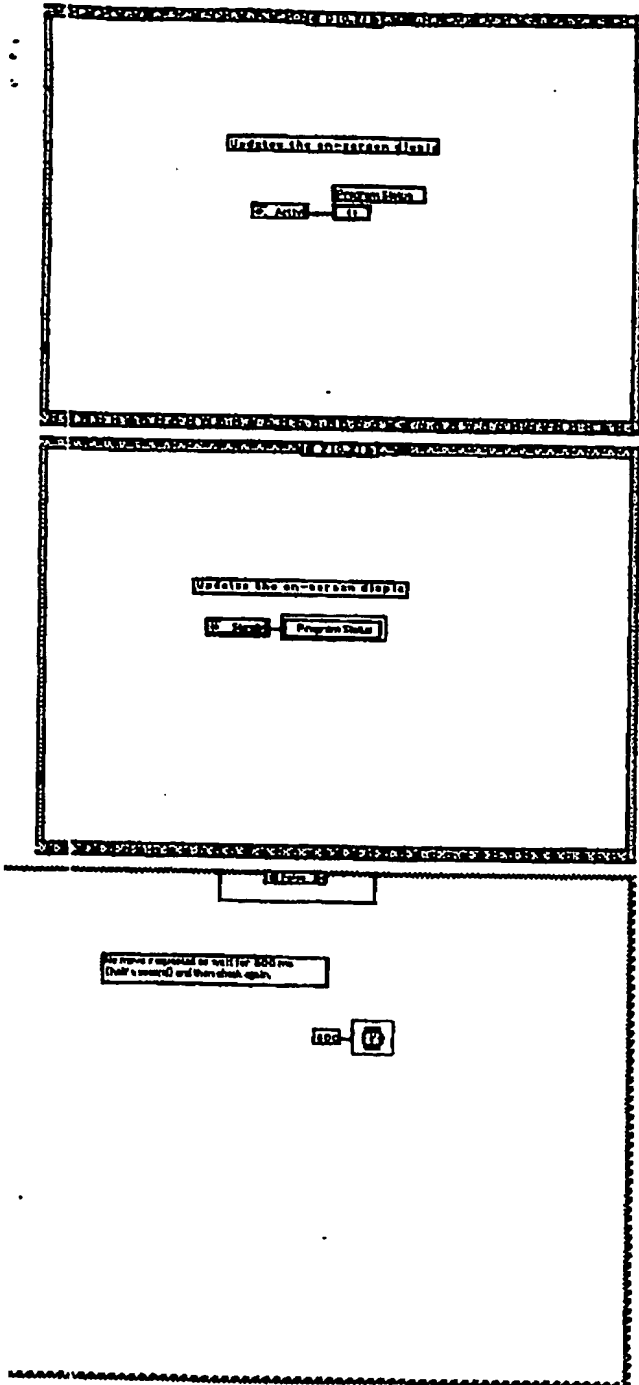


Figure 14

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11

sona:do:Users:Rowena:Finger ranger:Muscle Wire Labview new:Three joints.vi
 ast modified on 15/3/02 at 1:51 PM
 rinted on 12/7/02 at 10:56 AM

Device 5 is PCI-MIO-16XE-50
 on PowerMac 9500 and
 DAQCard-AI-16E-4 on PowerBook G4
 remember to change this if using th
 PCI-DIO-96 or another computer

Digital output

PCI-MIO-16XE-50 has one
 DIO port of 8 lines. This por
 is referred to as Channel 0.

Patterns

| | |
|--------|--------|
| Ext 11 | 100000 |
| Ext 12 | 100000 |
| Ext 13 | 100000 |
| Ext 14 | 100000 |
| Ext 15 | 100000 |
| Ext 16 | 100000 |
| Ext 17 | 100000 |
| Ext 18 | 100000 |

Hit white arrow to Start an
 Exit at any time to Finish

Program Status

OFF

Current Finger position

Flexion

Which way to move?

EXTENSION : | FLEXION

Order of Movement

PROXIMAL -> DISTAL : | DISTAL -> PROXIMAL

Which joints to

1 2 3 4 5 6 7 8

MOVE EXIT

Pulse Width Modulation (PWM)

On time/per sec/duty cycle

2.0

10

50

LED Cluster (no PWM)

1 2 3 4 5 6 7 8

Figure 15

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3 joints.vi
 ardo:Users:Rowena:Finger ranger:Muscle Wire Labview new:Three joints.vi
 modified on 15/3/02 at 1:51 PM
 sd.pn 3/9/02 at 1:06 PM

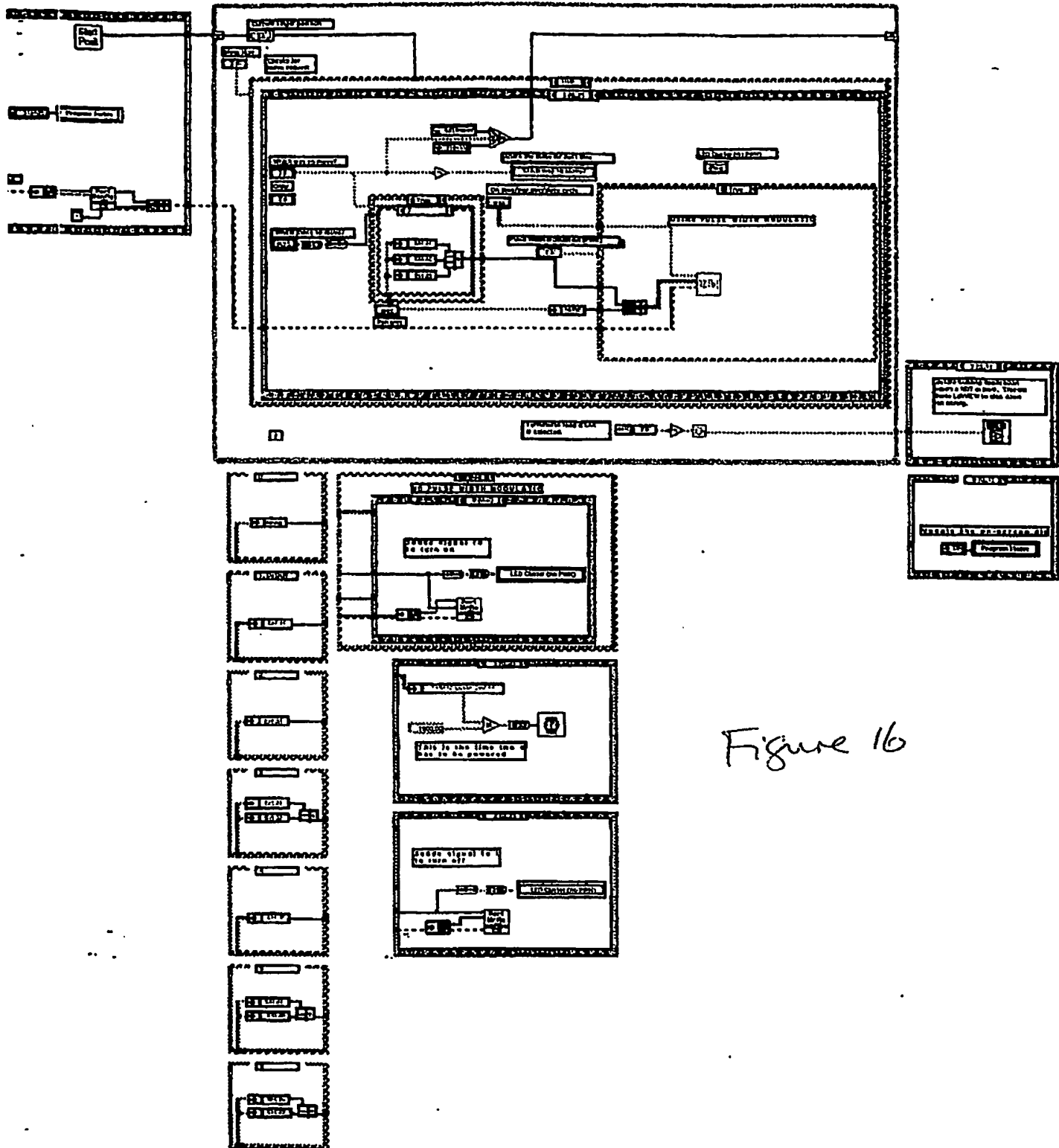


Figure 16

12/20

3 joints.vi
at do:Users:Rowena:Finger ranger:Muscle Wire Labview new:Three joints.vi
modified on 15/3/02 at 1:51 PM
ed on 3/9/02 at 1:06 PM

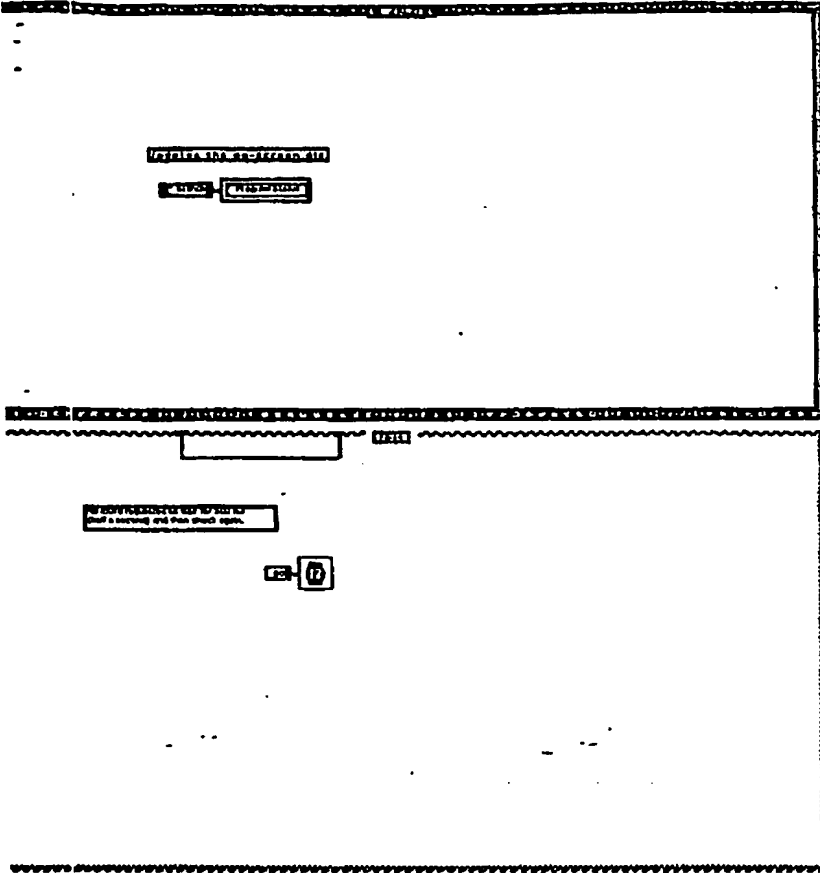


Figure 17

13/20

Three joints.vi
Path: Users:Rowena:Finger ranger:Muscle Wire Labview new:Three joints.vi
Modified on 15/3/02 at 1:51 PM
Saved on 3/9/02 at 1:06 PM

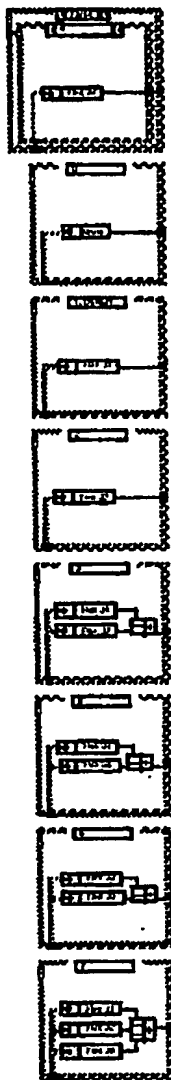


Figure 18

My first test program (1)

My first test program (1)

time/per sec/duty cycle

Time to hold (secs)
2.0

Cycles per second
10

% On
50

To show power state
OFF
ON

Line patterns
ON pattern: 100
OFF pattern: 100

Task ID / Error cluster
Task ID: 10
error out: code
the error: 0
source:

floor(x/y) x/y
0.0 0.0

LED Cluster

| | | | | | | | | |
|-----------|-----|---|-----|---|-----|---|-----|---|
| Extensors | OFF | 6 | OFF | 4 | OFF | 2 | OFF | 0 |
| Flexors | OFF | 7 | OFF | 5 | OFF | 3 | OFF | 1 |

Figure 19

time per sec/duty cycle

Time to hold (secs)
2.0

Cycles per second
10

% On
150

to show power state
OFF
ON

line patterns

On pattern 0
Off pattern 10

Task ID / Error cluster

Task ID
0

Error code
0

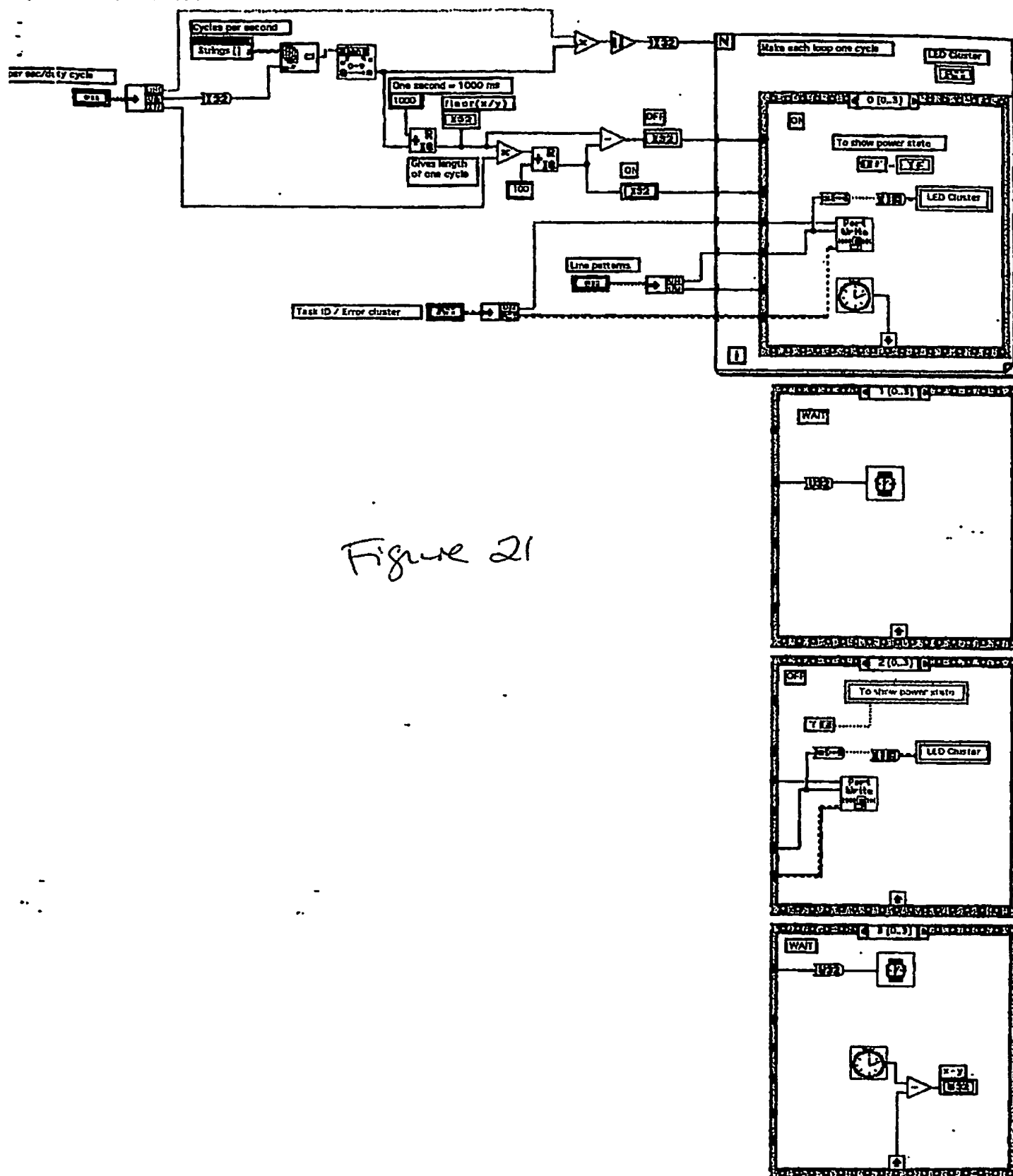
source
0

LED Cluster

| | | | | | | | | |
|-----------|-----|---|-----|---|-----|---|-----|---|
| Extensors | OFF | 6 | OFF | 4 | OFF | 2 | OFF | 0 |
| Flexors | OFF | 7 | OFF | 5 | OFF | 3 | OFF | 1 |

Figure 20

ie percent on.vi
 inardo:Users:Rowena:Finger ranger:Muscle Wire Labview new:pulse percent on.vi
 t modified on 15/3/02 at 11:13 AM
 ted on 3/9/02 at 1:06 PM



t-4 wires.vi
 o:Users:Rowena:Finger range... Wire Labview new:One Joint-4 wires.vi
 Modified on 13/3/02 at 9:45 AM
 Printed on 12/7/02 at 10:54 AM

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Device 5 is PCI-MIO-16XE-50
 on PowerMac 9500 and
 DAQCard-AI-16E-4 on PowerBook G4
 Remember to change this if using th
 PCI-DIO-86 or another computer

Digital output

PCI-MIO-16XE-50 has one
 DIO port of 8 lines. This poi
 is referred to as Channel 0.

Patterns

| | |
|--------|----------|
| None | 10000000 |
| Ext 1 | 10000000 |
| Flex 1 | 10000000 |
| Ext 2 | 10000000 |
| Flex 2 | 10000000 |
| Ext 3 | 10000000 |
| Flex 3 | 10000000 |

Hit while arrow to Start an
 Exit at any time to Finish

Program Status

OFF

Current Finger position

Flexion

Which way to move?

EXTENSION Ch1 : FLEXION Ch0

Order of Movement

PROXIMAL : DISTAL ->
 -> DISTAL PROXIMAL

Which joints to

1 ☒ 2 ☐ 3 ☐ 4 ☐

Pulse Width Modulation
☒ OFF/ON

On time/per sec/duty cycle

2.0
 10
 50

LED Cluster (no PW)

| | | | | | | | |
|-------|-------|-------|-------|-------|-------|-------|-------|
| Ext 1 | Ext 2 | Ext 3 | Ext 4 | Ext 5 | Ext 6 | Ext 7 | Ext 8 |
| OFF | OFF | OFF | OFF | OFF | OFF | OFF | OFF |

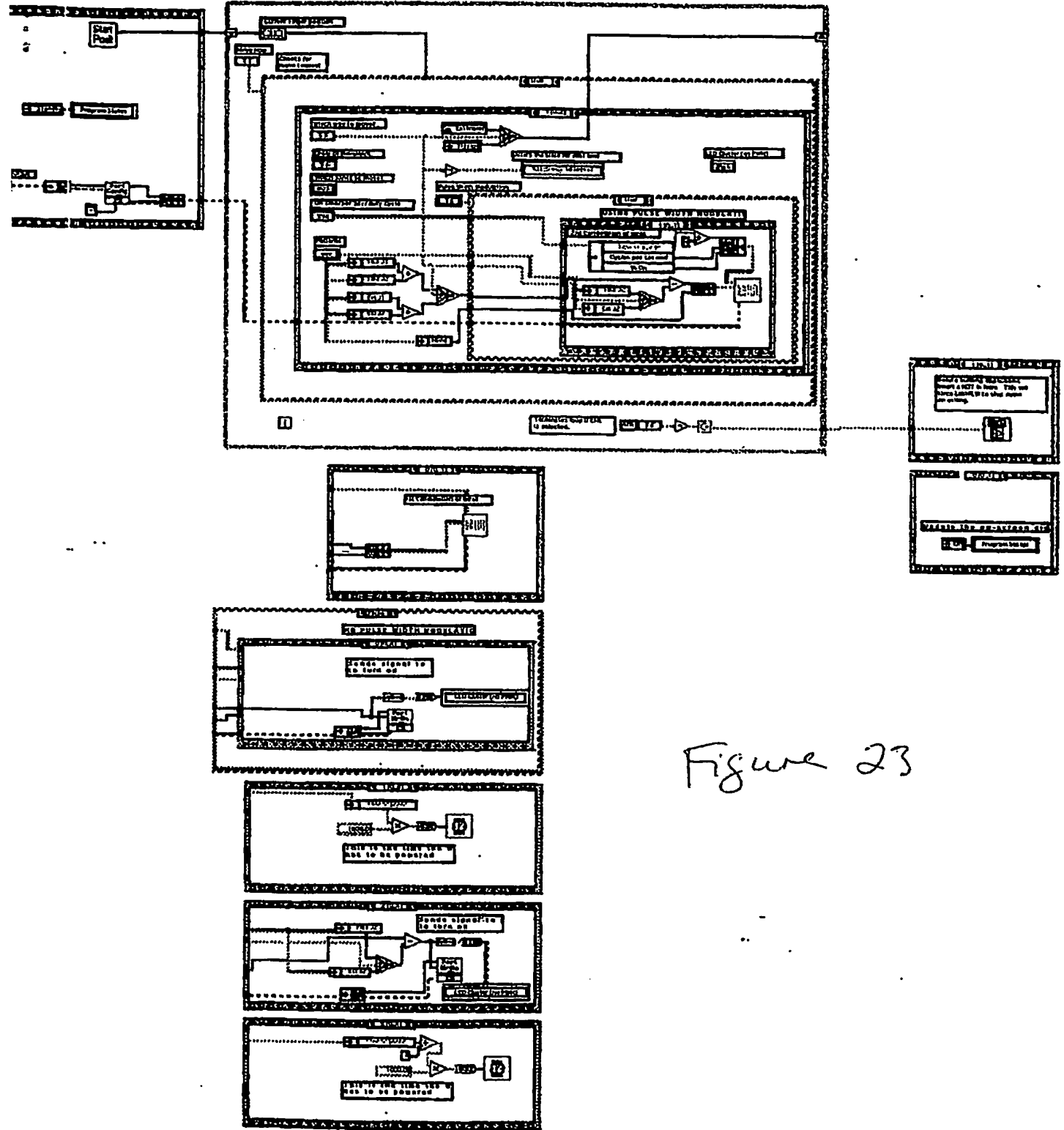
MOVE EXIT

Actual Setup: 12 V 2.2 wires on for 1st time
 to power then only 12 wires on for second run

Figure 22

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1. Joint 4 wires.vi
nardo:Users:Rowena:Finger ranger:Muscle Wire Labview new:One joint-4 wires.vi
t modified on 13/3/02 at 9:45 AM
ted on 3/9/02 at 1:07 PM



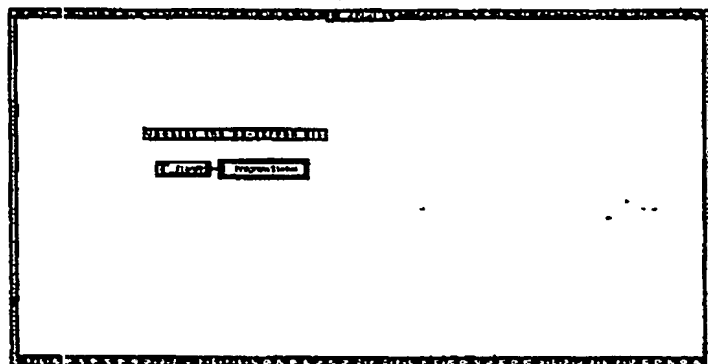
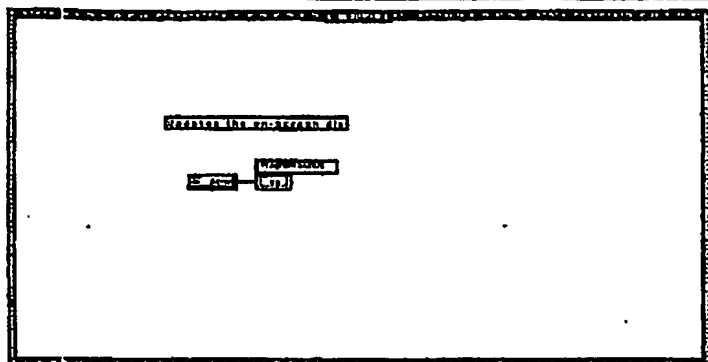
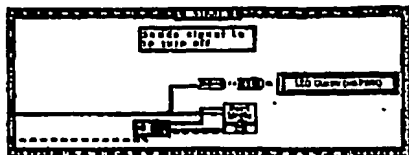
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joint-4 wires.vi

ardo:\Users\Rowena\Finger ranger\Muscle Wire Labview new:One joint-4 wires.vi

modified on 13/3/02 at 9:45 AM

ed on 3/9/02 at 1:07 PM



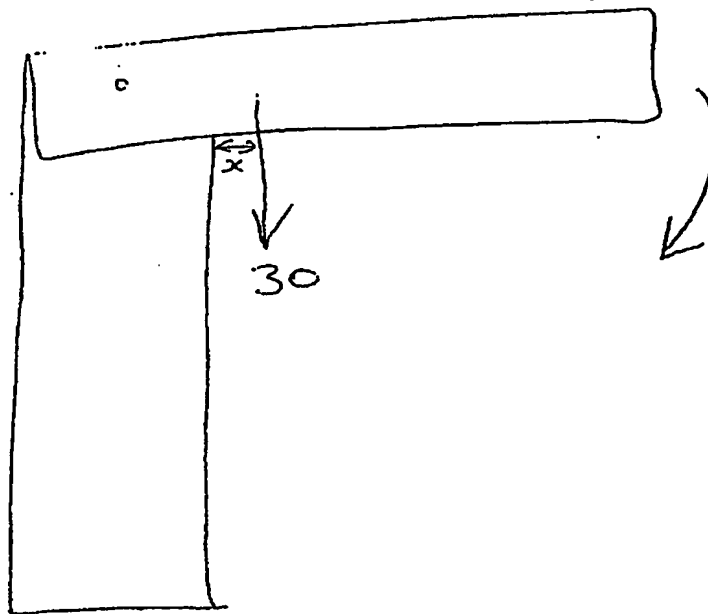
12 bit DAC

12 bit DAC

12 bit DAC

Figure 24

Figure 25



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